



# AERONET Version 3

## Aerosol Optical Depth and Inversion Products

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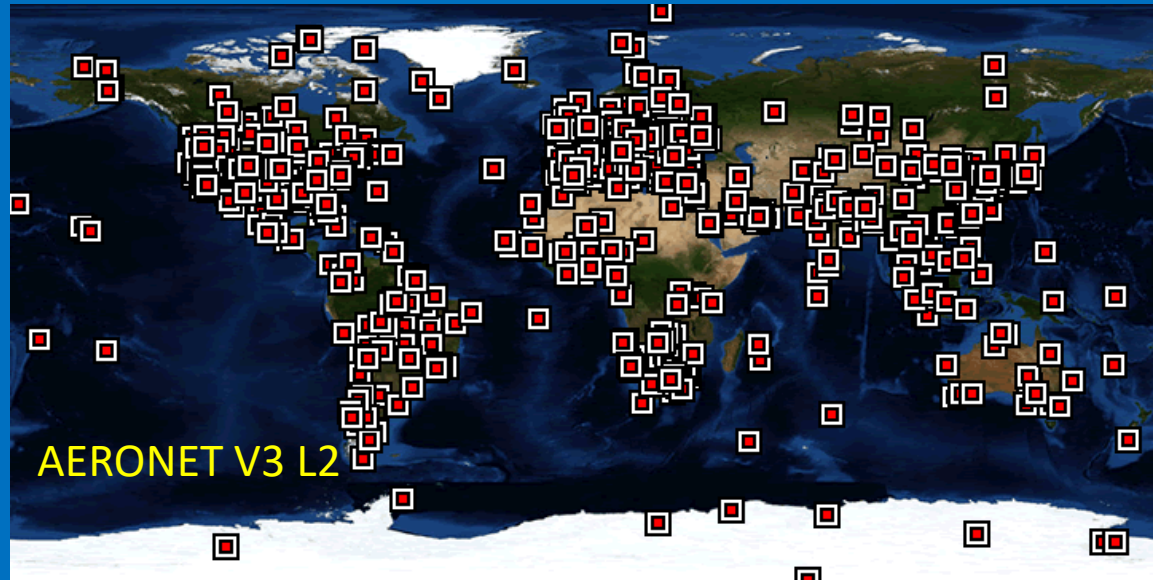
<sup>2</sup> NASA Goddard Space Flight Center

<sup>3</sup> Universities Space Research Association

E-Poster Presentation  
AeroCenter Poster Bash  
February 1, 2018

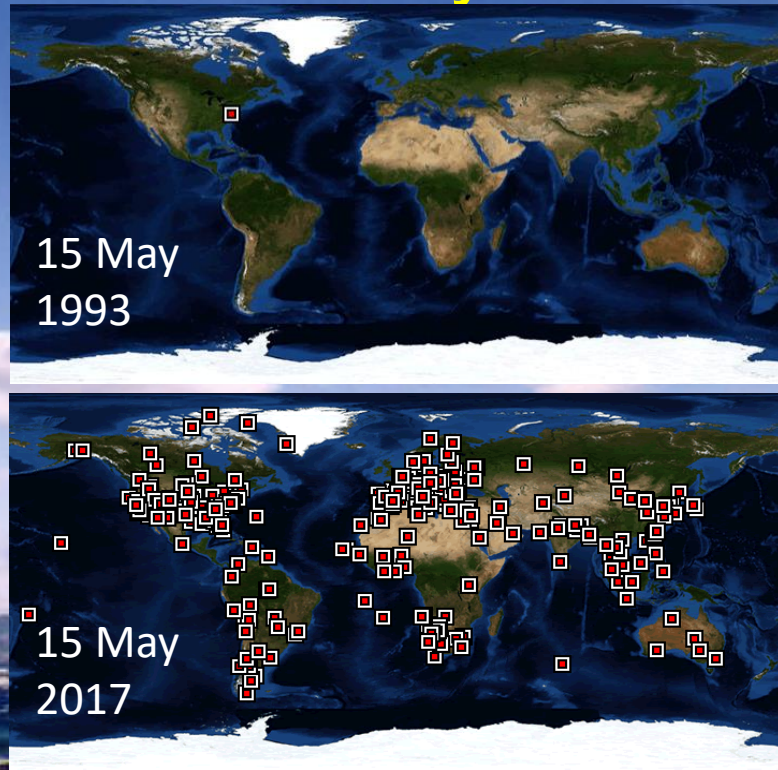
# Outline

- Need for Higher Quality NRT Observations
- V3 AOD Cloud Screening and Quality Control Improvements
- V3 AOD Assessment
- Version 3 Inversion Updates and Improvements
- Quality Assured Data Release



<http://aeronet.gsfc.nasa.gov>

# AERONET Aerosol Robotic Network- Over Twenty Years of Observations and Research



The **AERONET program** is a federation of ground-based remote sensing aerosol networks established by NASA and LOA-PHOTONS (CNRS) and has been expanded by collaborators from international agencies, institutes, universities, individual scientists and partners.

**AERONET** provides a long-term, continuous public database of aerosol optical, microphysical, and radiative properties for aerosol research and characterization, validation of satellite measurements, and synergism with other databases.

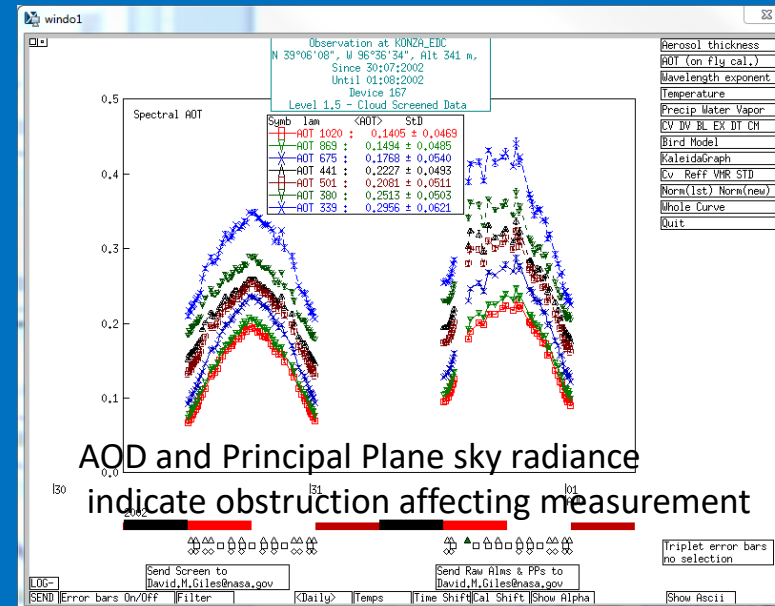
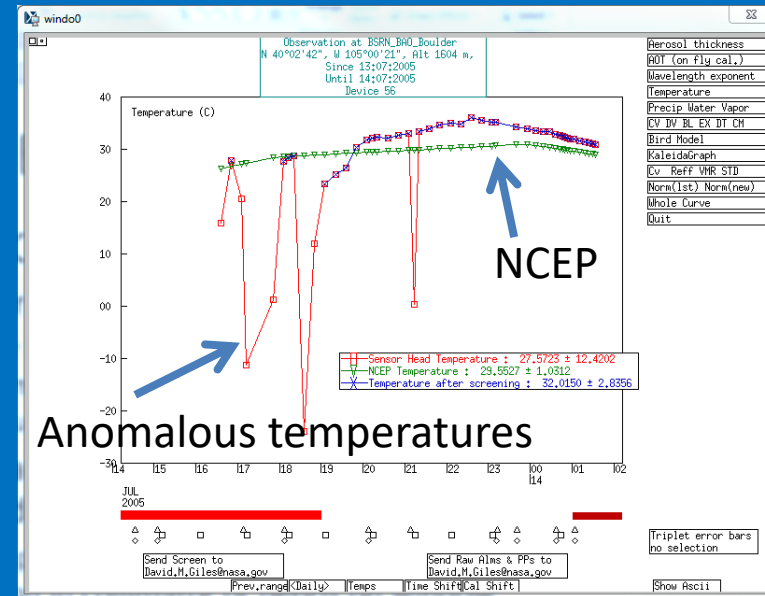
- >7000 citations
- >450 sites
- Over 90 countries and territories
- <http://aeronet.gsfc.nasa.gov>

# Multi-Platform Need for Near Real-time Aerosol Observations

- Polar Orbiting and Geostationary Satellites
  - *VIIRS, MODIS, MISR, OMI, GOES, Himawari-8, Sentinel 3, GOCI*
- Surface-based Instrumentation
  - *MPLNET, SPARTANS, GreenNet*
- Aerosol Forecasts, Assimilation, and Reanalysis
  - *ICAP, GOCART, NAAPS, MERRA-2*
- Weather Prediction Models
  - *NCEP, ECMWF, GEOS-5*
- Field Campaign Support
  - *KORUS-AQ, ORACLES, FIREX, CAMPex*

# AERONET Version 3: AOD

- V3 Level 1.0: Unscreened data (NRT)
  - Applies new temperature characterizations
  - Applies NO2 and Ozone OMI L3 climatology (2004-2013)
  - Applies updated absorption coefficients (Literature/HITRAN)
- V3 Level 1.5: Based on Level 1.0 (NRT)
  - Improved cloud screening
  - New quality controls applied
- V3 Level 2.0: Based on Level 1.5 with pre- and post-calibration and temperature characterization applied
  - Level 2.0 data quality confirmed during post-field calibration evaluation and released 30 days afterwards to allow for updates to ancillary databases
  - Significantly improves timeliness of Level 2.0 data availability
- Giles et al. 2018, in preparation
- AERONET Version 3 AOD Algorithm Quality Control Technical Description (2018)



# V2 vs. V3 Cloud Screening

Algorithm/Parameter	Version 2	Version 3
<b>Very High AOD Restoration</b>	N/A	$\tau_{870} > 0.5$ ; $\alpha_{675-1020} > 1.2$ or $\alpha_{870-1020} > 1.3$ , restore if eliminated by cloud screening
<b>Air Mass Range</b>	1 to 5	1 to 7
<b>Number of Potential Measurements</b>	$N < 3$ , reject day	After all checks applied, reject day if $N_{\text{remain}} < \text{MAX}\{3 \text{ or } < 10\% \text{ of } N\}$
<b>Triplet Criterion</b>	All $\lambda$ s; AOD range $> \text{MAX}\{0.02 \text{ or } 0.03 * \tau_a\}$	$\lambda = 675, 870, 1020 \text{ nm}$ AOD range $> \text{MAX}\{0.01 \text{ or } 0.015 * \tau_a\}$
<b>Angstrom Limitation</b>	N/A	If AE less than -1.0 or AE greater than 4.0, then eliminate measurement.
<b>Smoothness Check</b>	$D < 16$	For AOD 500nm (or 440nm) $\Delta\tau_a > 0.01$ per minute, remove larger $\tau_a$ in pair. Then, the process repeats until no more removal.

- V2: Smirnov et al. 2000, Cloud screening and quality control algorithms for the AERONET database, Rem.Sens.Env., 73, 337-349
- AERONET Version 3 AOD Algorithm Quality Control Technical Description (2018)

# V2 vs. V3 Cloud Screening

Algorithm/Parameter	Version 2	Version 3
<b>Solar Aureole Radiance Curvature Check</b>	N/A	Compute curvature ( $k$ ) for 1020nm aureole radiances from $3.2^\circ$ - $6.0^\circ$ $\phi$ . If $k < 2.0E-5$ for first point, compute a slope of $\ln k$ vs $\ln \phi$ . If slope is greater than 4.3 (empirically derived), then point is "cloud contaminated." For ALM, PP, and HYB, all $\tau_a$ points will be removed in the $\pm 30$ minutes period from sky measurement. For CCS, all $\tau_a$ points will be removed $\pm 2$ minutes period.
<b>Standalone Points</b>	N/A	No data $\pm 1$ hour of point, then reject it unless $\alpha_{440-870nm} > 1.0$ , then keep point
<b>AOD Stability Check</b>	Same as V3	Daily Averaged AOD 500nm (or 440nm) has $\sigma$ less than 0.015, then do not perform <b>3-Sigma Check</b>
<b>3-Sigma Check</b>	Same as V3	AOD 500nm and $\alpha_{440-870nm}$ should be within $MEAN \pm 3\sigma$ ; otherwise reject point(s)

Cloud Screening Algorithm Step Change Summary: 2 same, 4 modified, and 4 new

# Slope of Curvature

- **Input:**
  - Natural logarithm of Scattering Angles ( $\Phi$ ) between  $3.2^\circ$  and  $6^\circ$
  - Natural logarithm of Radiances (R) ( $\mu\text{W}/\text{cm}^2/\text{sr}/\text{nm}$ ) for 1020nm
  - Must be more than 3 scattering angles (usually 4 or 5)
- Perform linear regression fit ( $\ln R$  vs  $\ln \Phi$ ) and determine the intercept (A) and slope (**b**) when correlation coefficient  $>0.99$



# Curvature of Aureole Radiances

- The curvature ( $K$ ) at the first  $\Phi$  is the defined by

$$K = \frac{y''}{(1 + y'^2)^{3/2}}$$

- $y = A * \Phi^b$  : power law function

- $y' = A * b * \Phi^{b-1}$

- $y'' = A * b * (b-1) * \Phi^{b-2}$

- Calculate slope of curvature ( $M$ ) assuming  $y'^2 \gg 1$ :

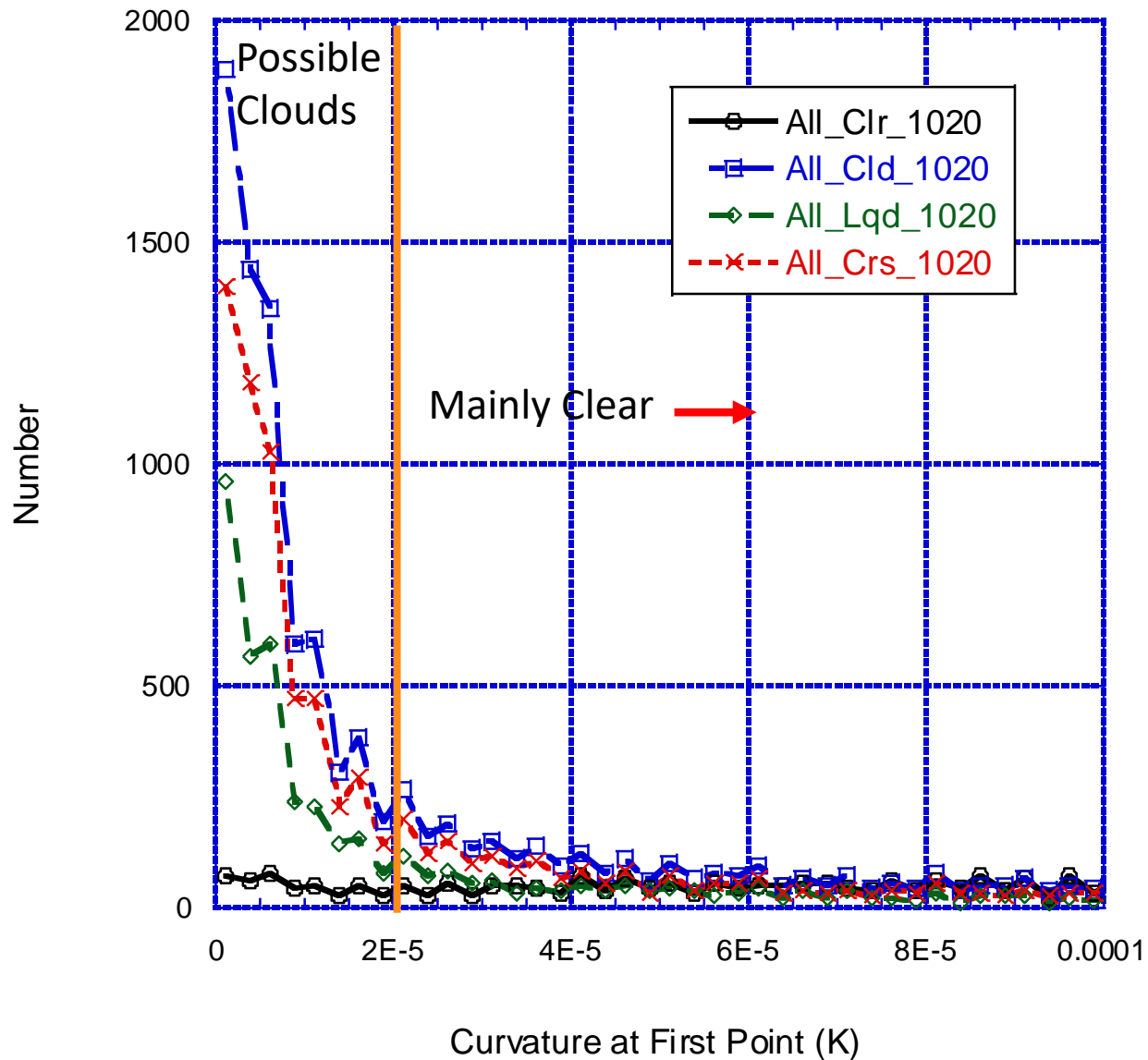
$$M = 1 - 2b$$

# Lidar-Assisted Empirically Derived Curvature Coefficients

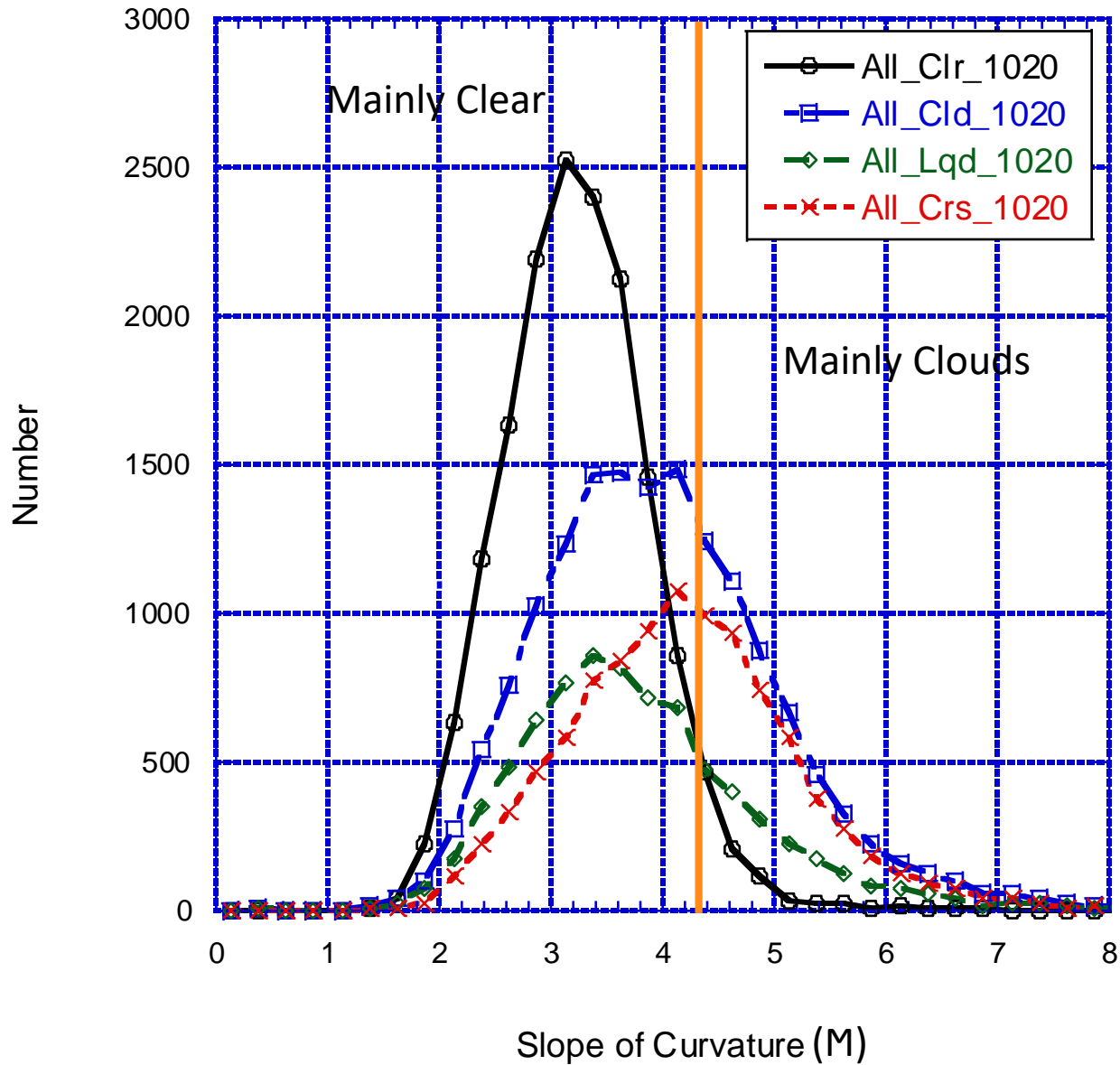
- **$K < 2E-5$**  indicates increased probability of coarse particles
- **$M > 4.3$**  indicates increased probability of coarse particles
- **Combining these two thresholds provides a much better assessment of cloud presence**
- Utilized MPLNET LIDAR database (8 sites) cirrus and liquid cloud identification
  - LIDAR cloud base height corresponding to MERRA geopotential height temperature  $< -37^{\circ}\text{C}$  designated as cirrus
  - Coincident with AERONET to determine cloud phase and determine thresholds up to  $30^{\circ}$  solar zenith angle

MPLNET Data Acknowledgement: James Campbell, Jasper Lewis, Judd Welton

# GSFC (Alm & PP)



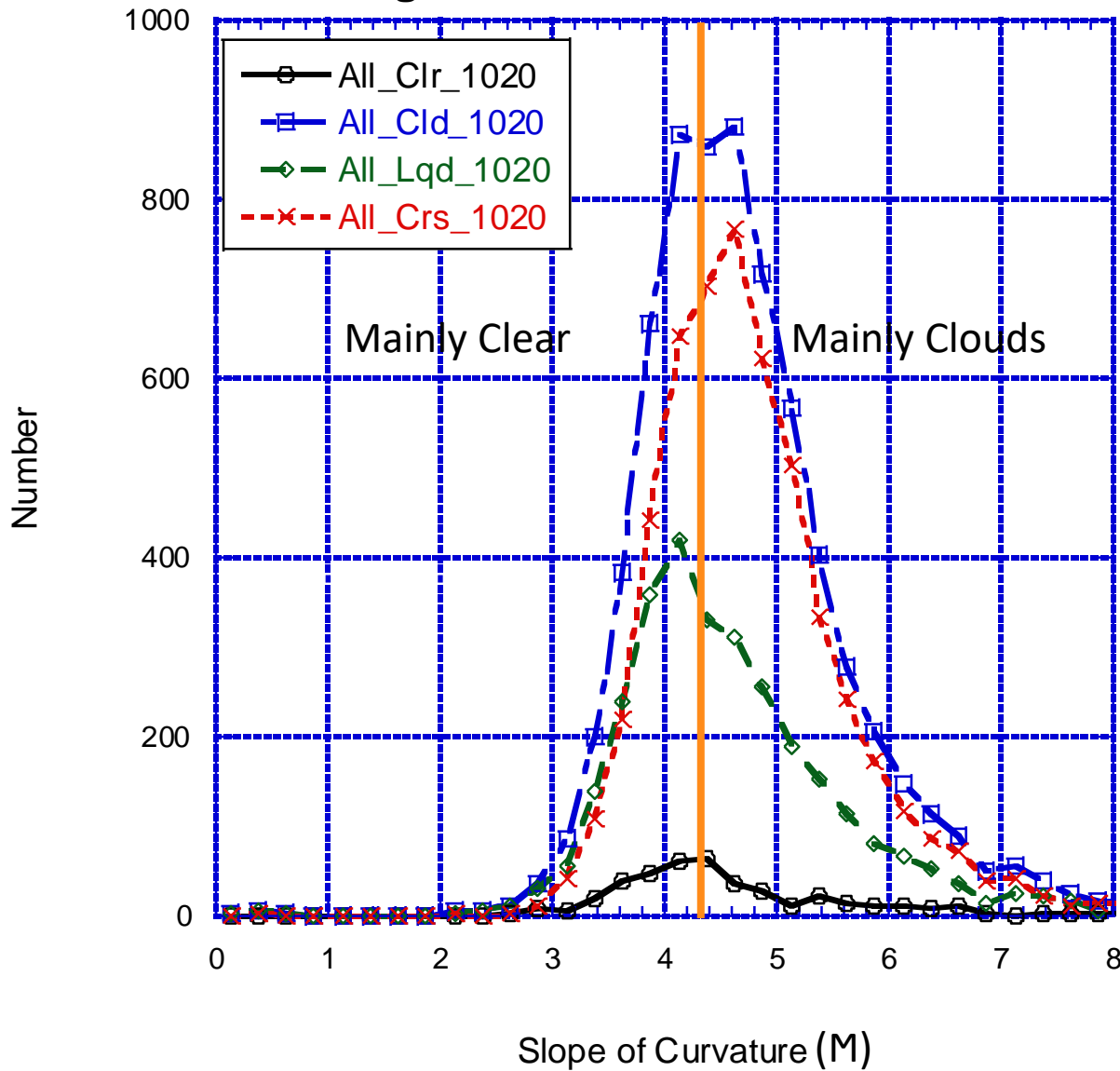
# GSFC (Alm & PP)



All Slope of Curvature Points without K 2E-5 threshold

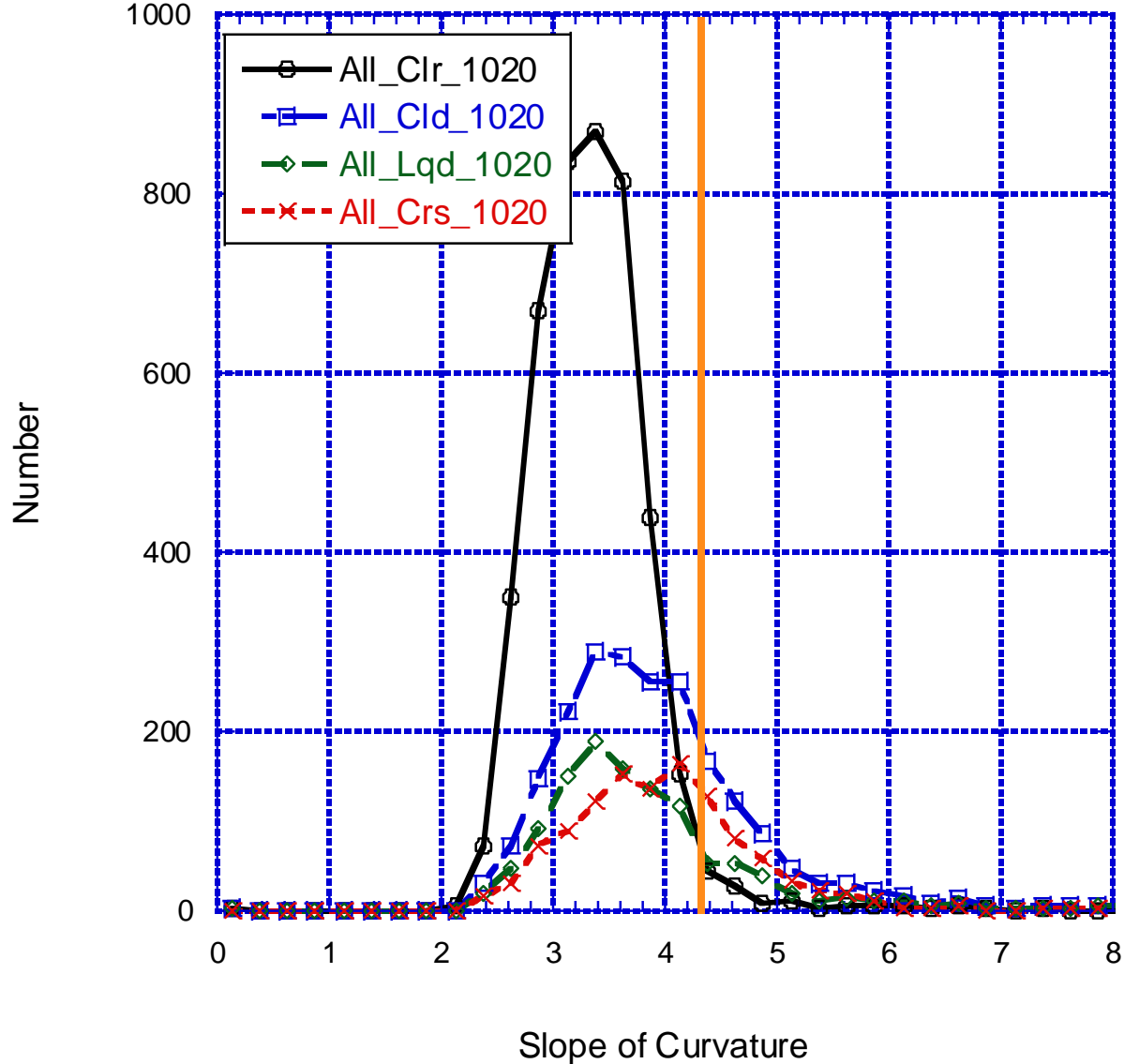
# GSFC (Alm & PP)

## Using First Point Curvature $< 2E-5$



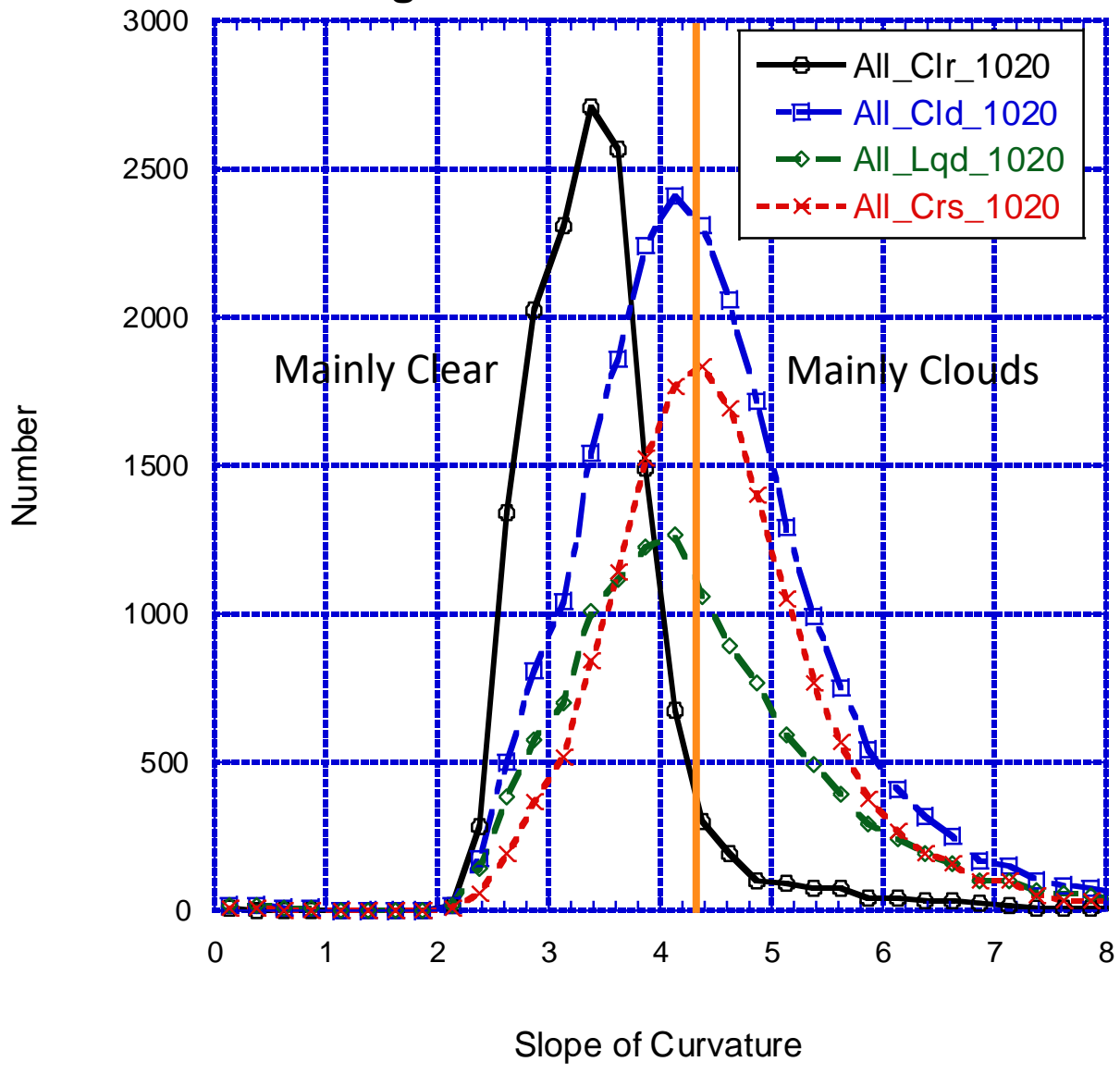
All Slope of Curvature Points with  $2E-5$  threshold

# SEDE BOKER (AIm & PP) Using First Point Curvature < 2E-5



Dusty site also shows distinction between clear and cirrus

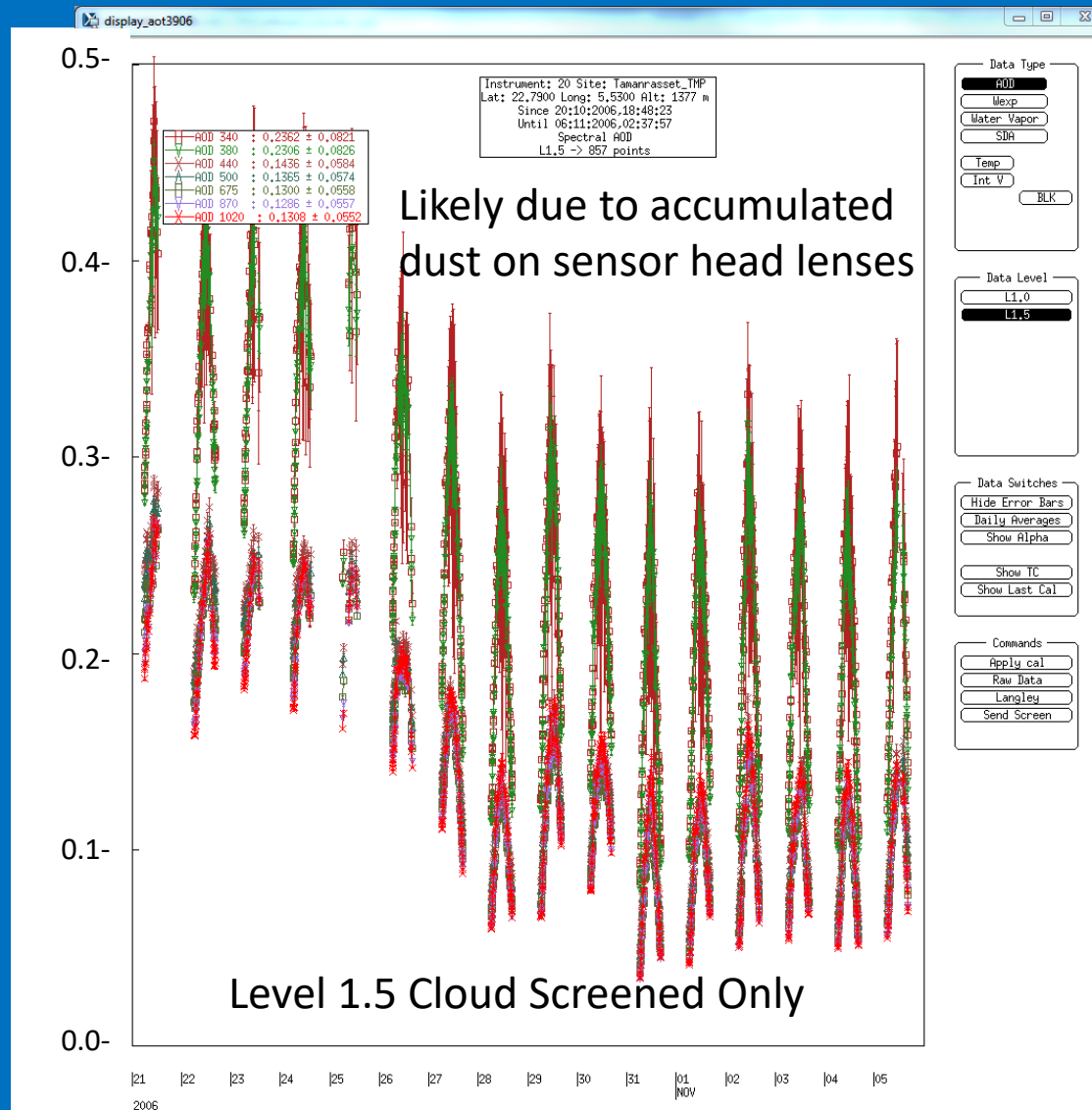
# All 8 Sites (Alm & PP) Using First Point Curvature < 2E-5



Note:  
Additional  
removal of data  
±30 minutes of  
sky scan for  
Alm/PP/Hyb  
(and ±2 minutes  
for CCS) likely  
removes much  
more cirrus after  
this screening

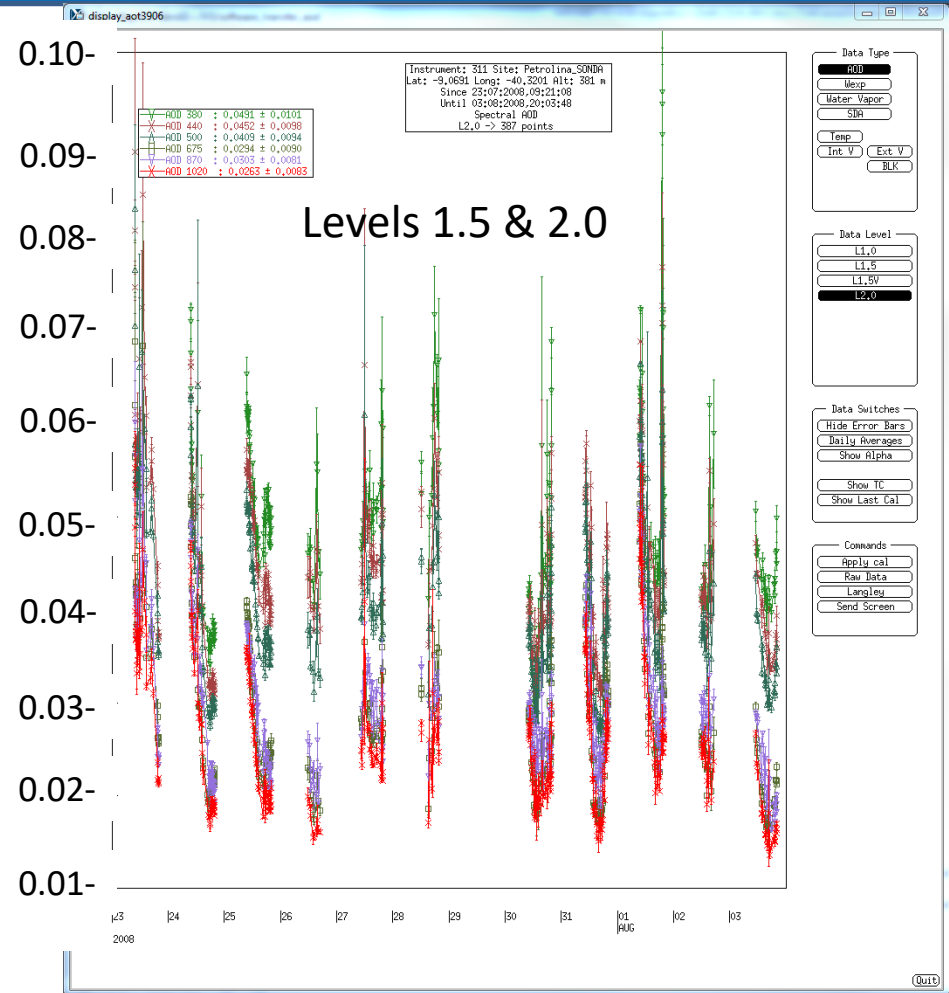
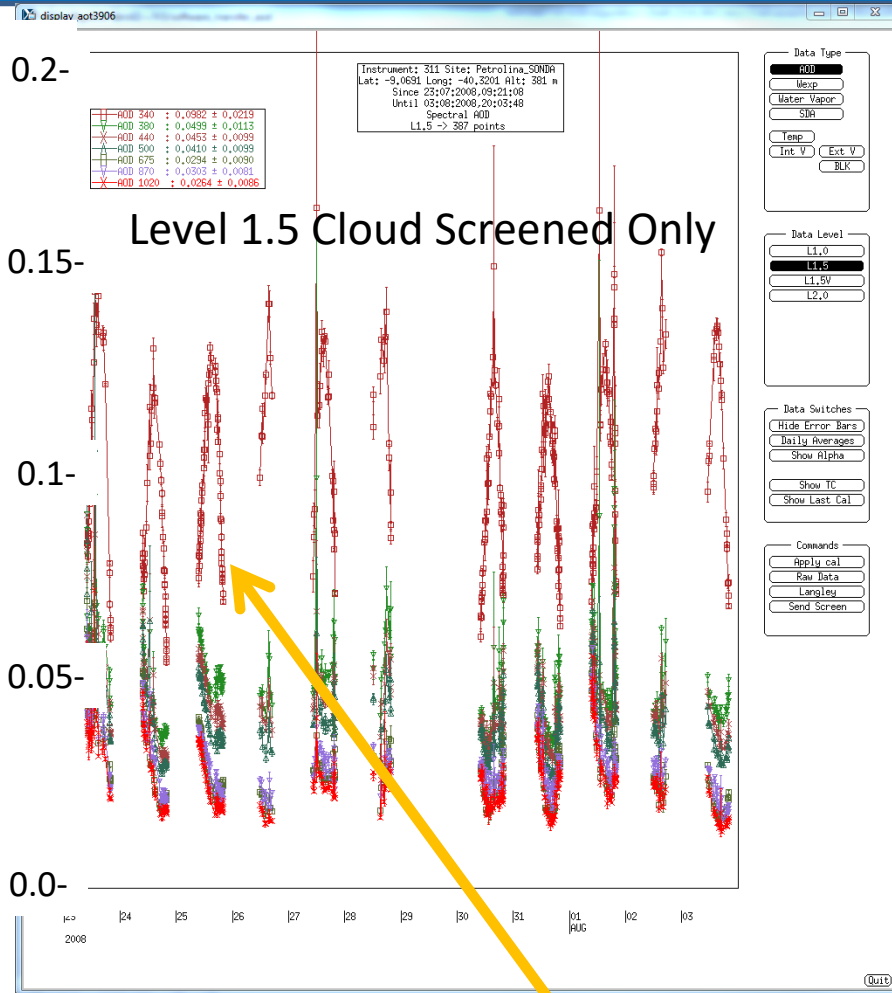
# AERONET V3 L1.5 Quality Control: AOD Diurnal Dependence

- **Robust** linear regression fit of AOD and  $\cos(\text{SZA})$ 
  - $\lambda(\text{nm})=440, 675, 870, 1020$  (Si), and 1640(In)
  - Slope,  $R^2$ , and RMS
- AM, PM, and full day evaluated
- Independent AOD DD removal only with strong thresholds for linear fit
- Dependent AOD DD removal with weaker thresholds for linear fit but other Level 1.5V flags set
- Multi-day removal (at least 3 days out of last 20)





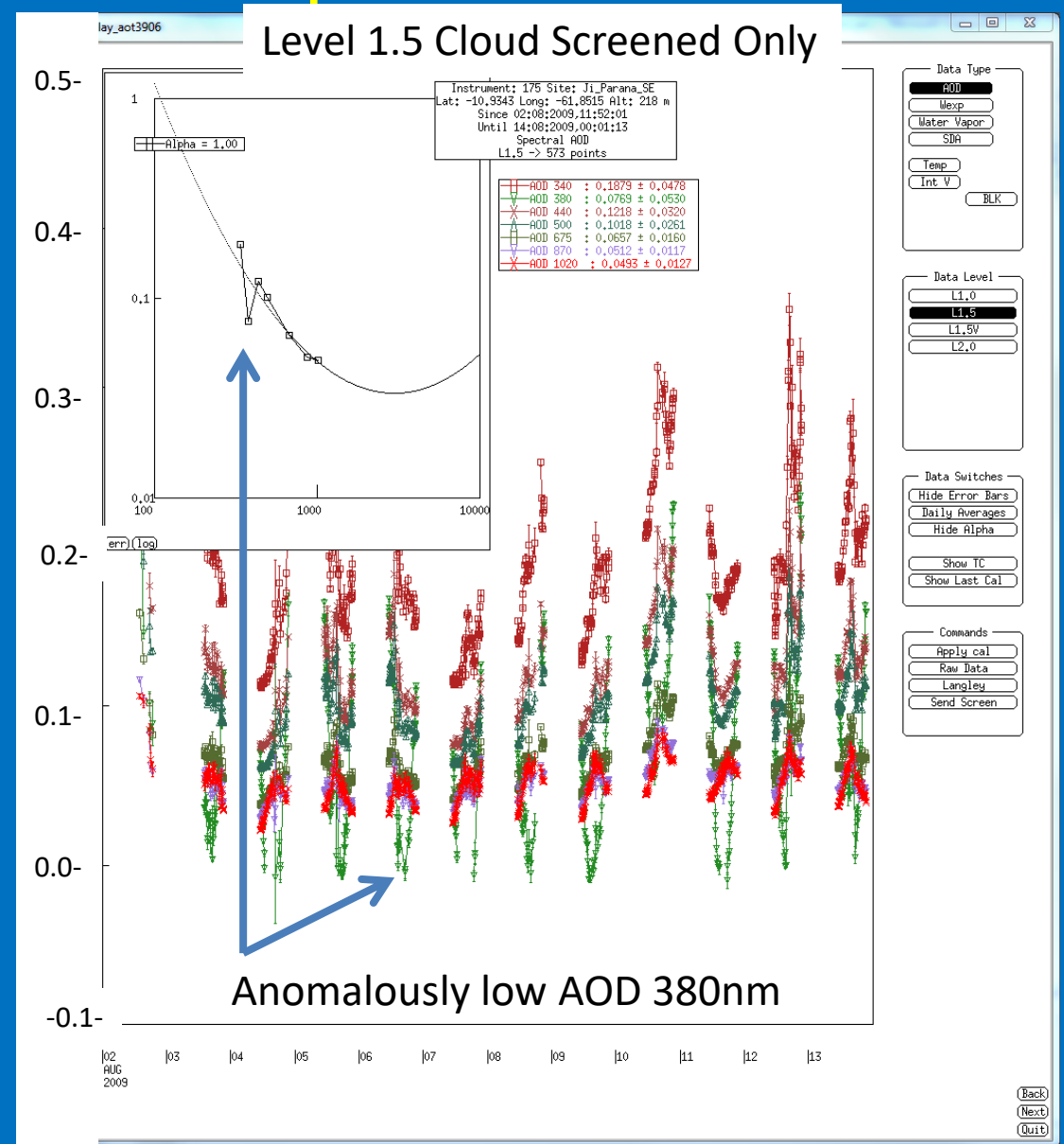
# AERONET V3 L1.5: AOD Diurnal Dependence



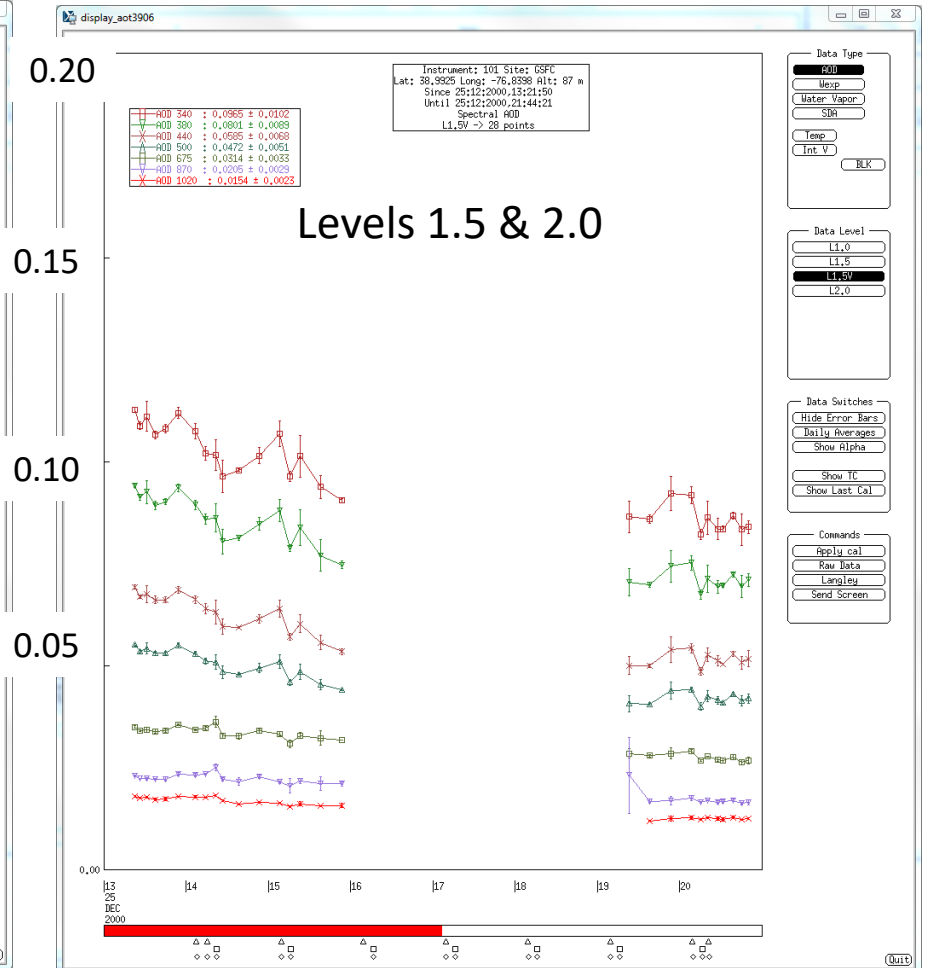
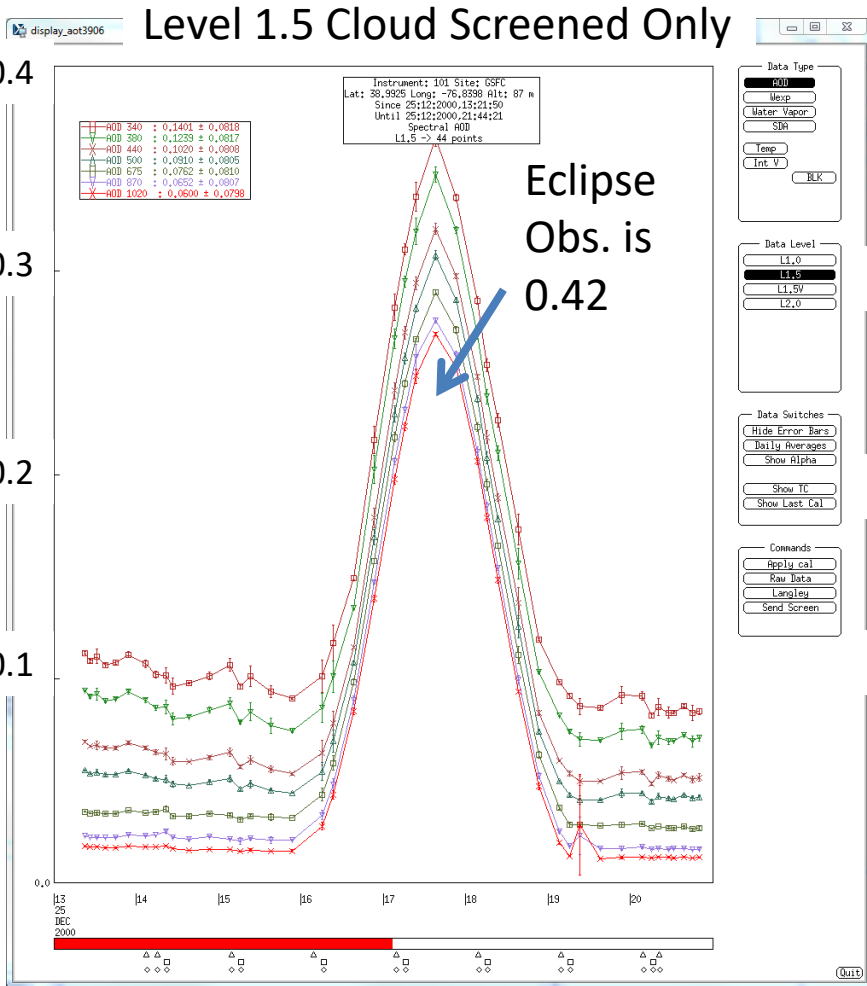
Only AOD 340nm data removed

# AERONET V3 L1.5 Quality Control: AOD Spectral Dependence

- Utilize mainly 1<sup>st</sup> or 2<sup>nd</sup> order fit
  - Number of wavelengths
  - AOD magnitude
- Uses **robust** regression technique less influenced by outliers
- Employ iterative approach to remove outliers based on fit (fit-measurement)
- Combine with other screening techniques



# AERONET Version 3 L1.5: Solar Eclipse Screening



- \* Uses NASA Eclipse database: <http://eclipse.gsfc.nasa.gov>
- \* AOD correction may be implemented

# NRT Level 1.0 and 1.5 AOD Processing

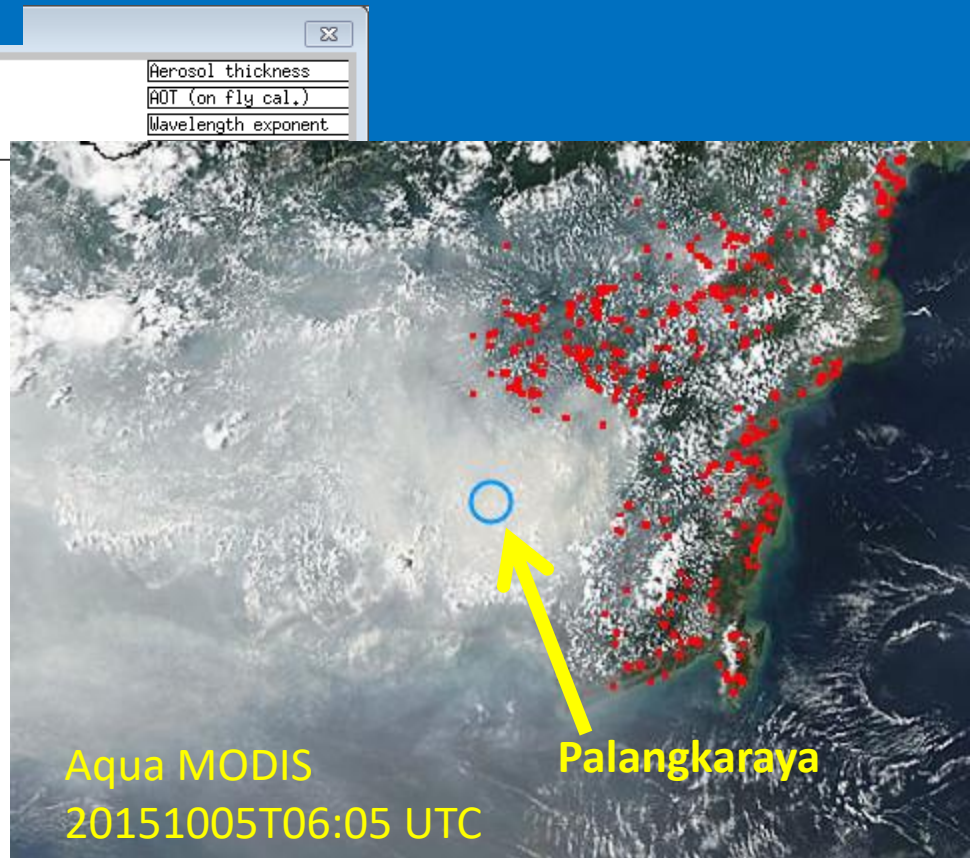
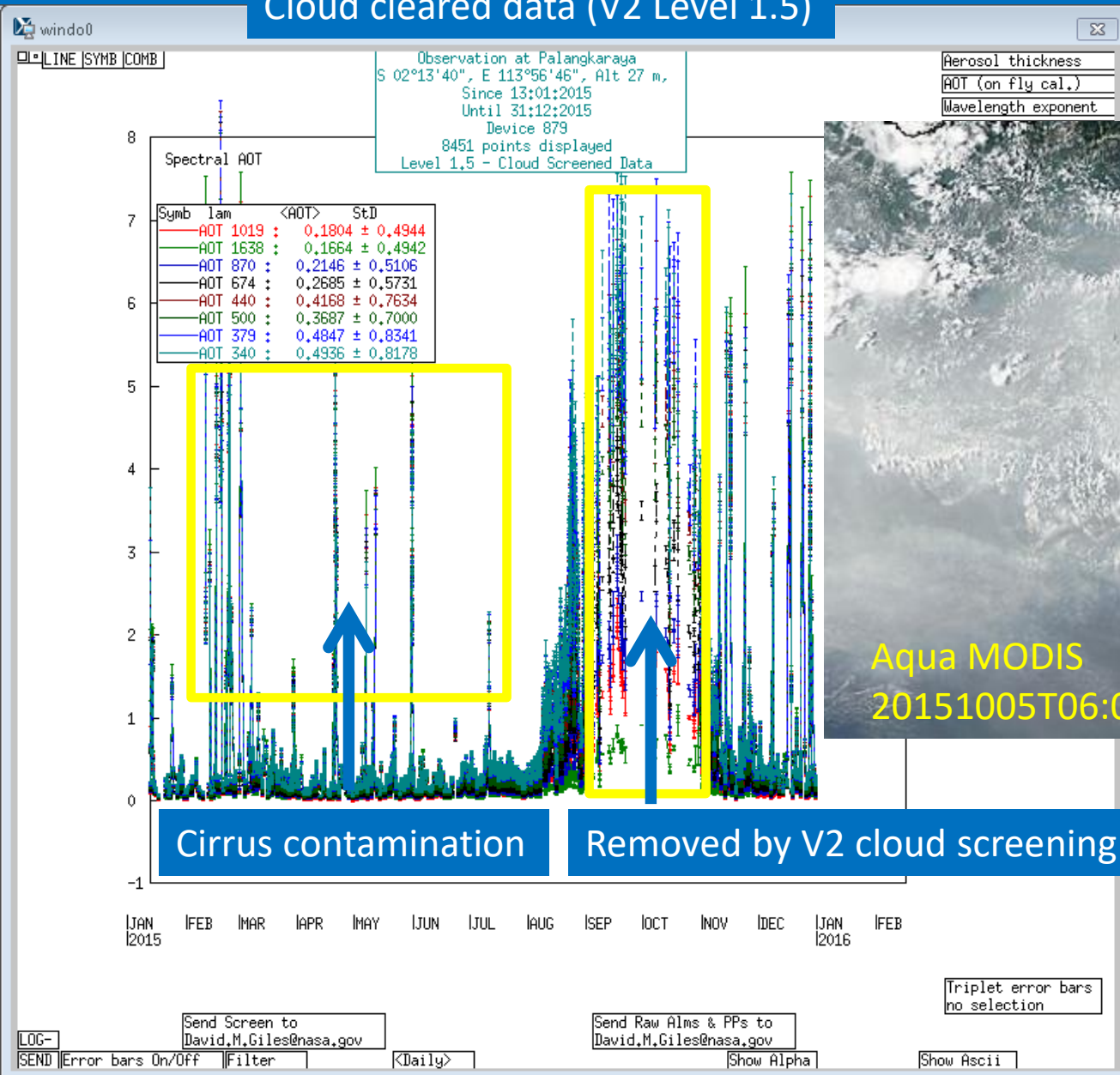
- Data processing
  - Data are received generally within 30 minutes (often within 15 minutes) of the instrument measurement
    - A few sites delay data transmission for a week or two
  - Processing occurs last 90 days every 5 minutes (data only modified if inputs changed)
  - Full reprocessing every night (data only modified if inputs changed)
- Final NCEP pressure and temperature reanalysis fields received 3 days after initial measurements
- For some quality controls, up to 3 weeks of data from real-time are evaluated for data anomalies, which may impact data availability

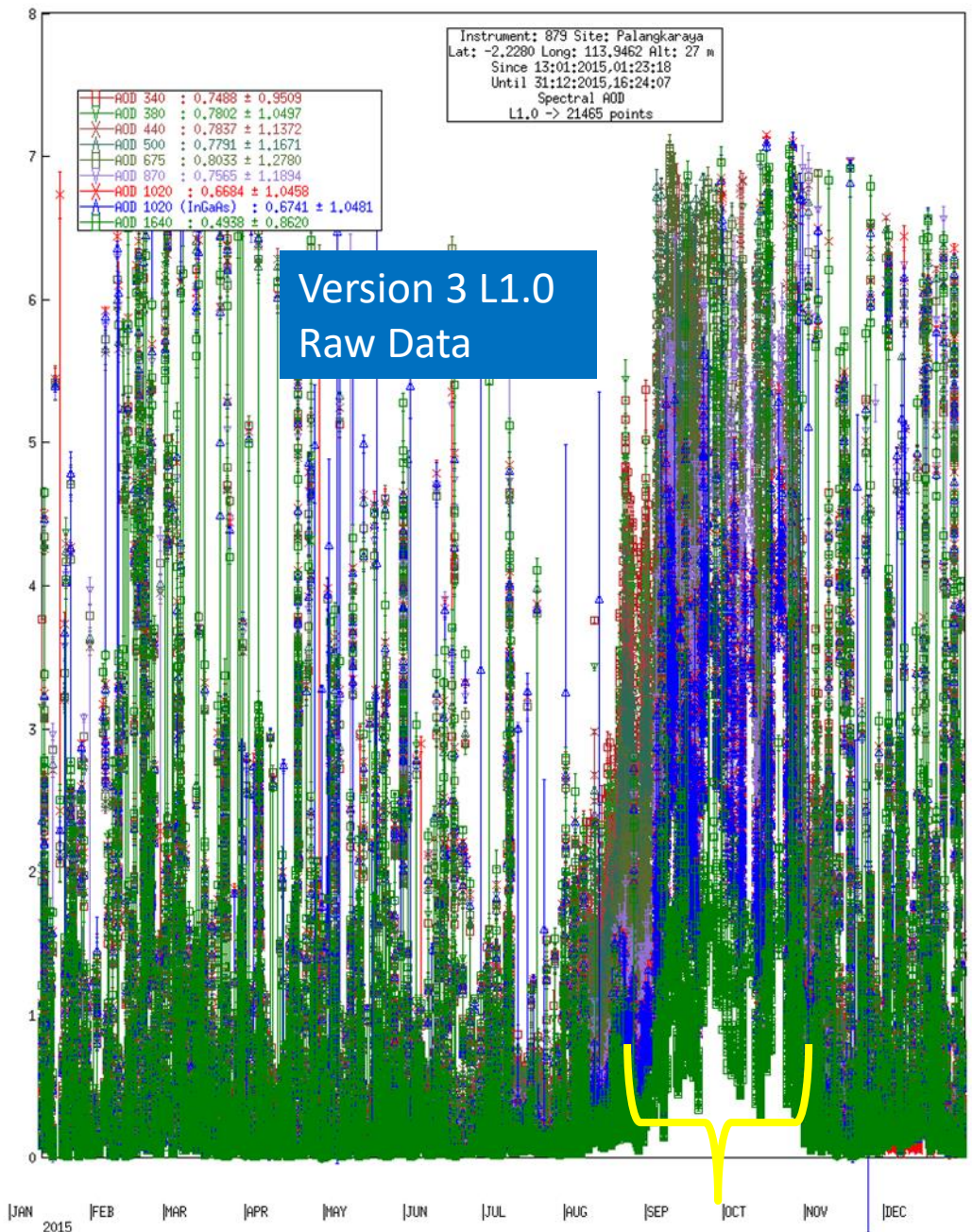
# Level 2.0 AOD Processing

- Utilize Level 1.5 cloud screened and quality controlled data set from deployment
- After post-field calibration is applied, saved, and confirmed, data will typically be available at Level 2.0 within 30 days.
  - Delay is necessary for pre and post field calibration assessments and to ensure final ancillary data sets are updated for final processing.
  - Some data may be sequestered from Level 2.0 due to instrument calibration issues
- After 30 days from post-field calibration, Level 2.0 is generally not expected to be modified.
- Level 2.0 data should be used for publications unless an anomalous situation exists and is justified.

# Indonesian Fires 2015 (Palangkaraya) – V2

Cloud cleared data (V2 Level 1.5)





Data Type

AOD (nn)

Mexp (nn)

Water Vapor (nn)

SDA

Temp Pres

Ext V

PUR  BLK

Data Level

L1.0

L1.5

L1.5V

L2.0

Data Switches

Hide Error Bars

Daily Averages

Show Alpha

Show TC

Show Last Cal

Commands

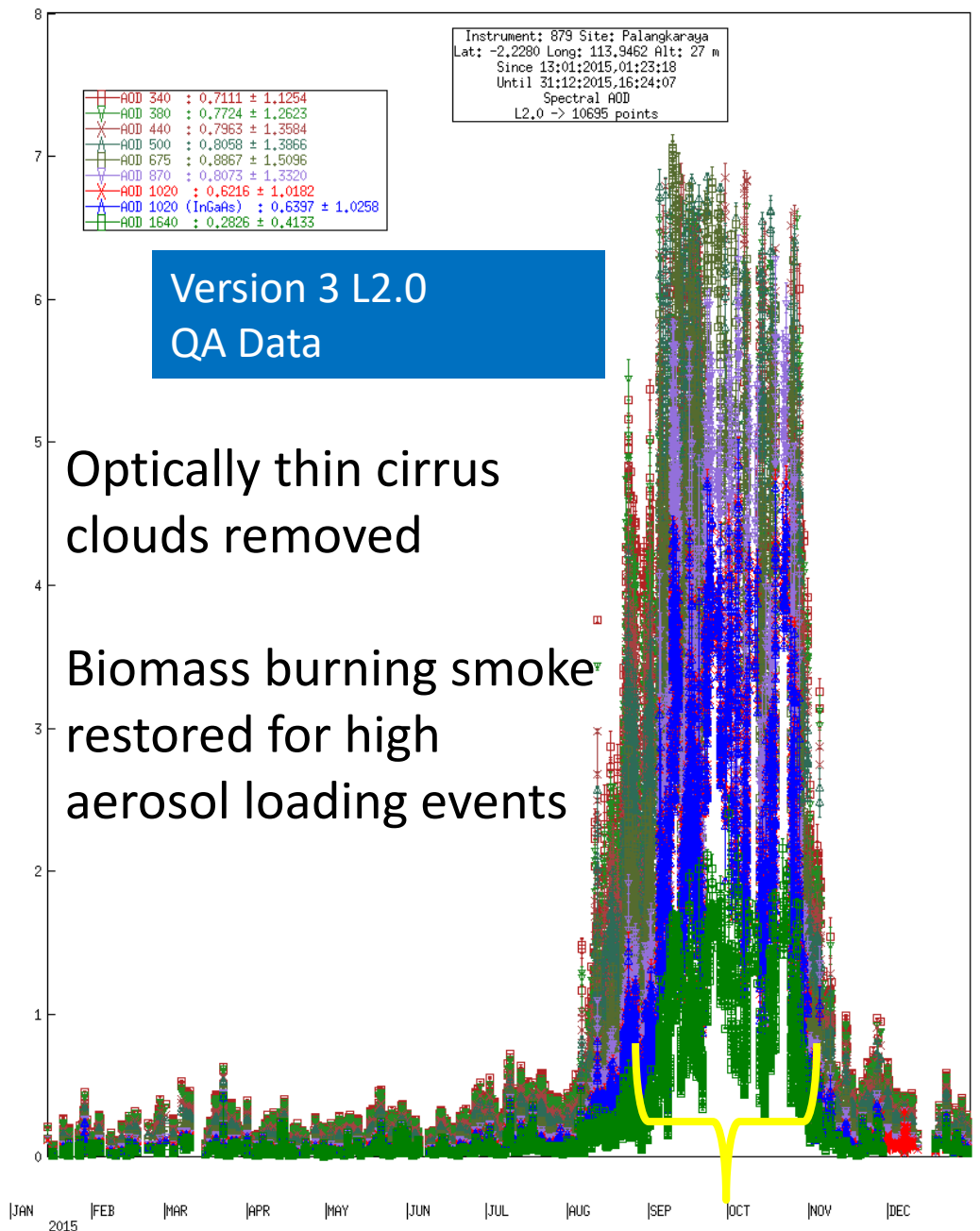
Instrument: 879 Site: Palangkaraya  
Lat: -2.2280 Long: 113.9462 Alt: 27 m  
Since 13:01:2015,01:23:18  
Until 31:12:2015,16:24:07  
Spectral AOD  
L2,0 -> 10695 points

AOD 340	: 0.7111 ± 1.1254
AOD 380	: 0.7724 ± 1.2623
AOD 440	: 0.7963 ± 1.3584
AOD 500	: 0.8058 ± 1.3866
AOD 675	: 0.8867 ± 1.5096
AOD 870	: 0.8073 ± 1.3320
AOD 1020	: 0.6216 ± 1.0182
AOD 1020 (InGaAs)	: 0.6337 ± 1.0258
AOD 1640	: 0.2826 ± 0.4133

Version 3 L2.0  
QA Data

Optically thin cirrus  
clouds removed

Biomass burning smoke  
restored for high  
aerosol loading events



Mainly NIR and SWIR λ Range

Data Type

AOD (mn)

Wexp (mn)

Water Vapor (mn)

SDA

Temp

Pres

Ext V

PUR

BLK

Data Level

L1.0

L1.5

L1.5V

L2.0

Data Switches

Hide Error Bars

Daily Averages

Show Alpha

Show TC

Show Last Cal

Commands

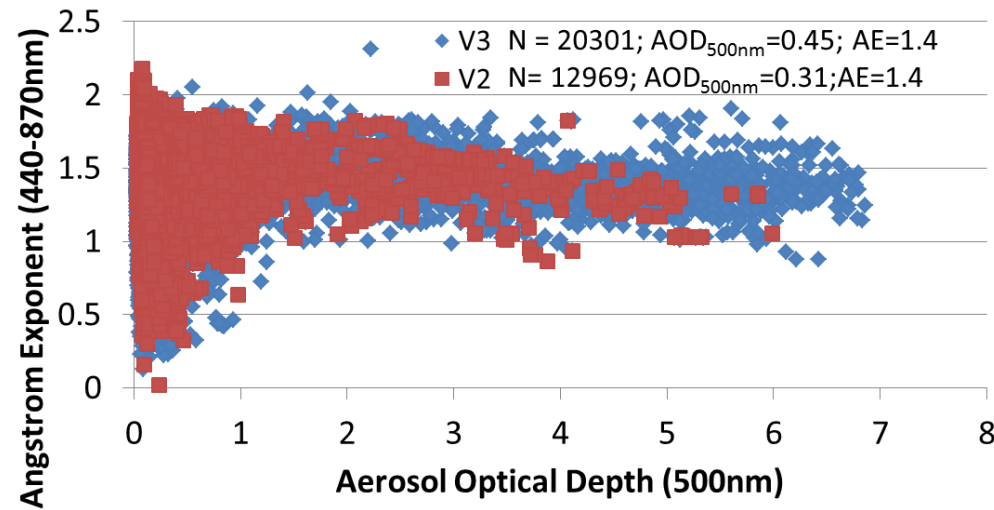


# AERONET V3 L2.0 Quality Assured

## Palangkaraya

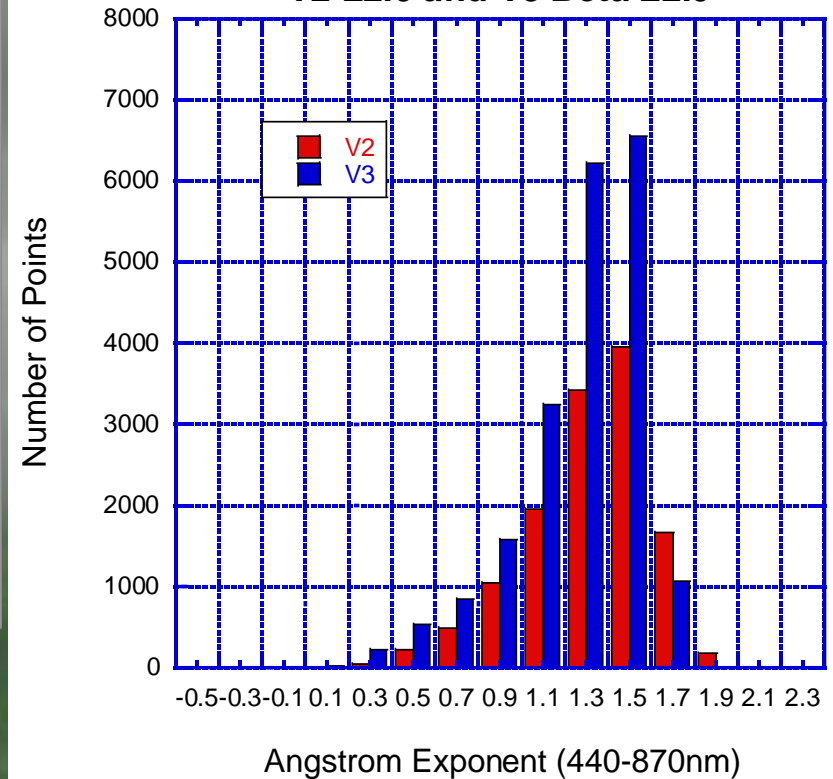


Palangkaraya (2012-2015)  
V2 L2.0 and V3 Beta L2.0

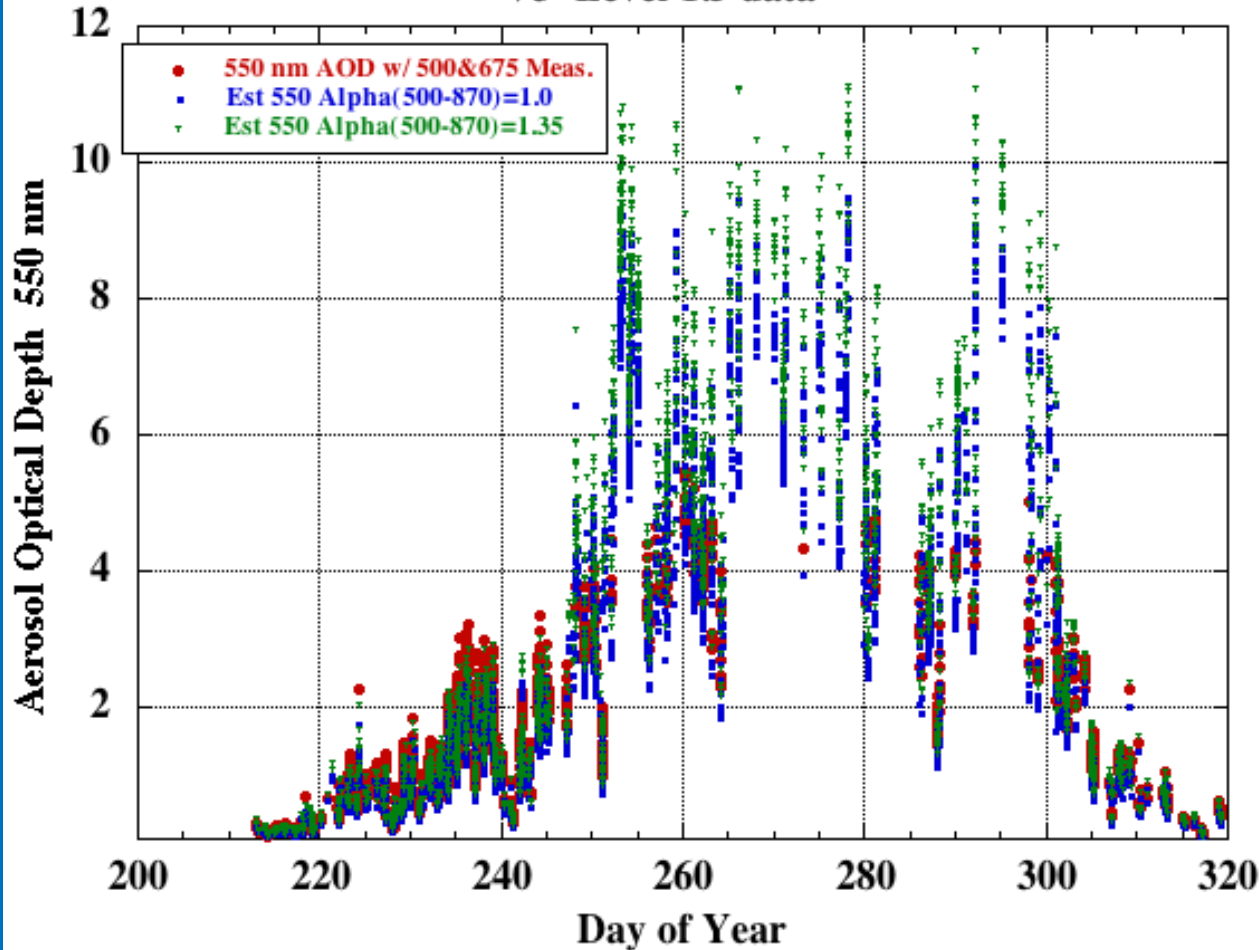


More measurements overall  
especially for  $AE \geq 1.0$

Palangkaraya (2012-2015)  
V2 L2.0 and V3 Beta L2.0



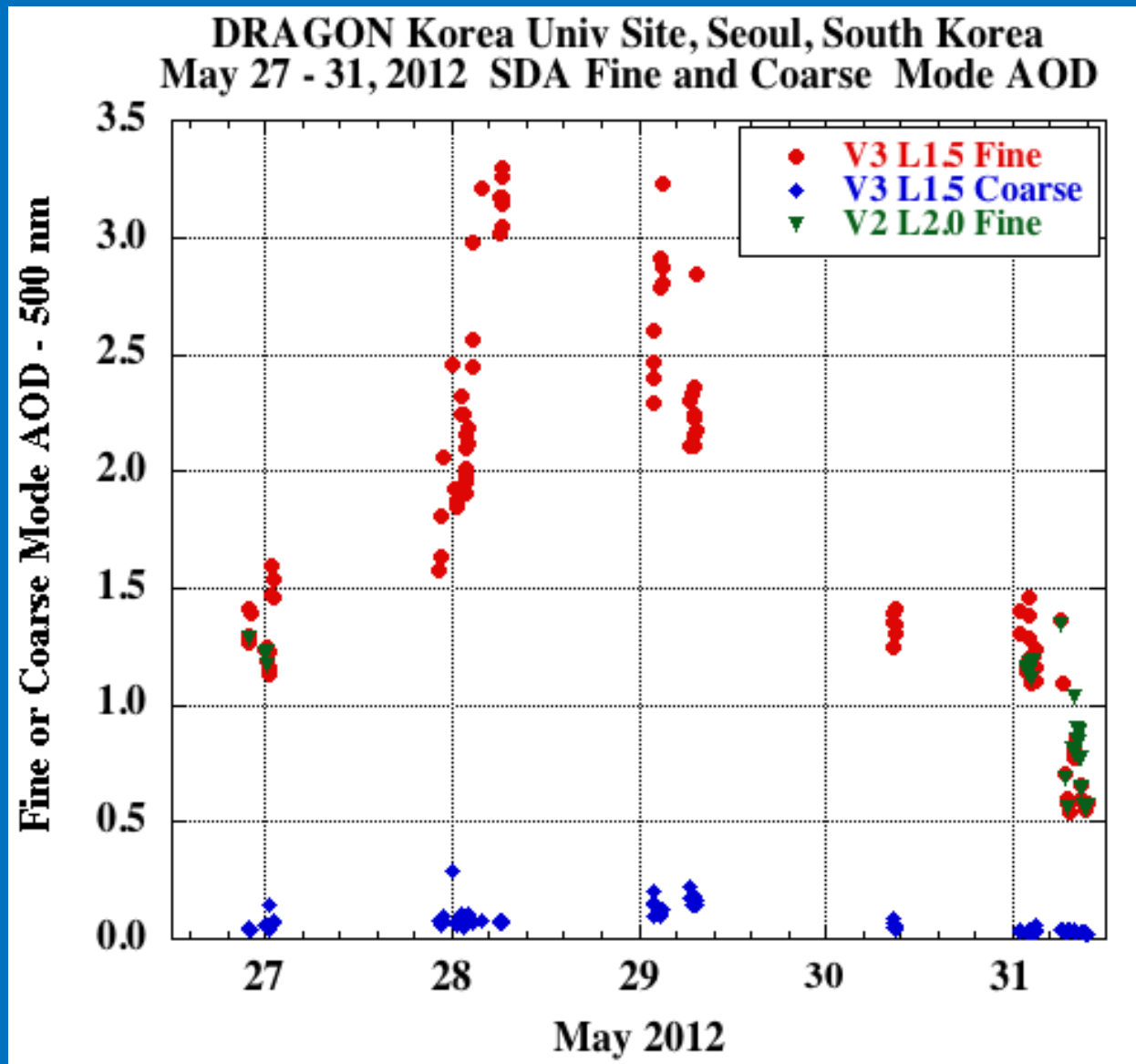
Palangkaraya, Indonesia Aug 1 - Nov 15, 2015  
550 nm AOD estimated from 870 nm AOD and Angstrom Exp.  
V3 Level 1.5 data



These estimated AOD levels at mid-visible exceed (to our knowledge) any values ever reported in the published literature.

This biomass-burning event in 2015 in Indonesia was the largest magnitude AOD event in terms of AOD levels ever monitored by AERONET to date, in the 24-year history of the network

**Seoul, S. Korea – Major 5 day pollution event from May 27-31, 2012**  
**Version 2 Level 2 Cloud Screening eliminated 3 days – May 28-30**  
**These 3 days are retained in Version 3 level 2 data**



# AERONET V2 vs. V3

## Nauru, #168, 2000-2005, 2010

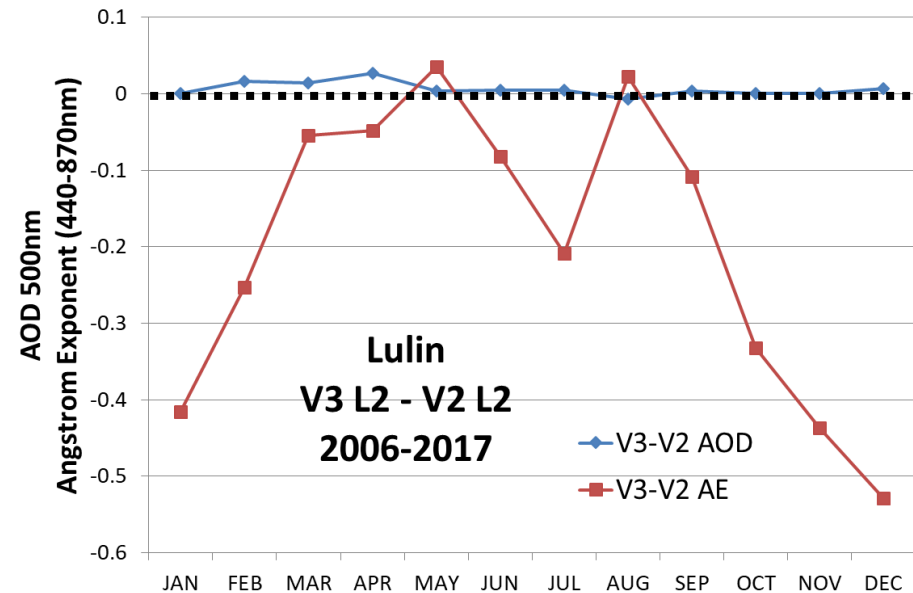
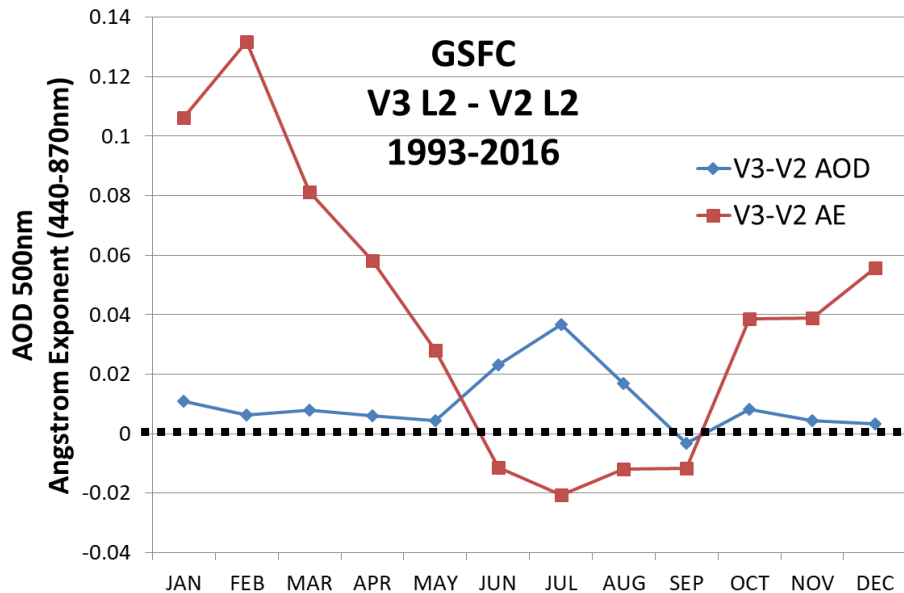
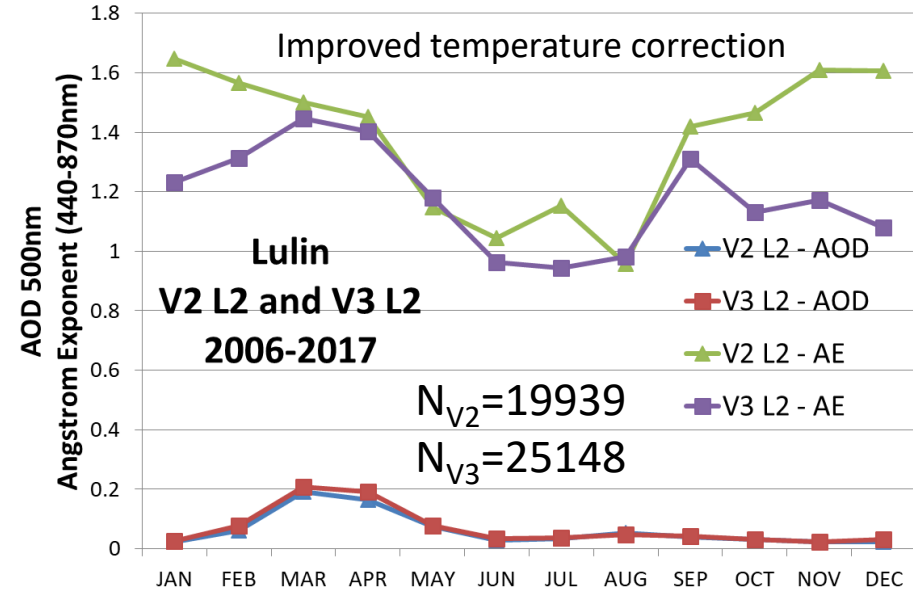
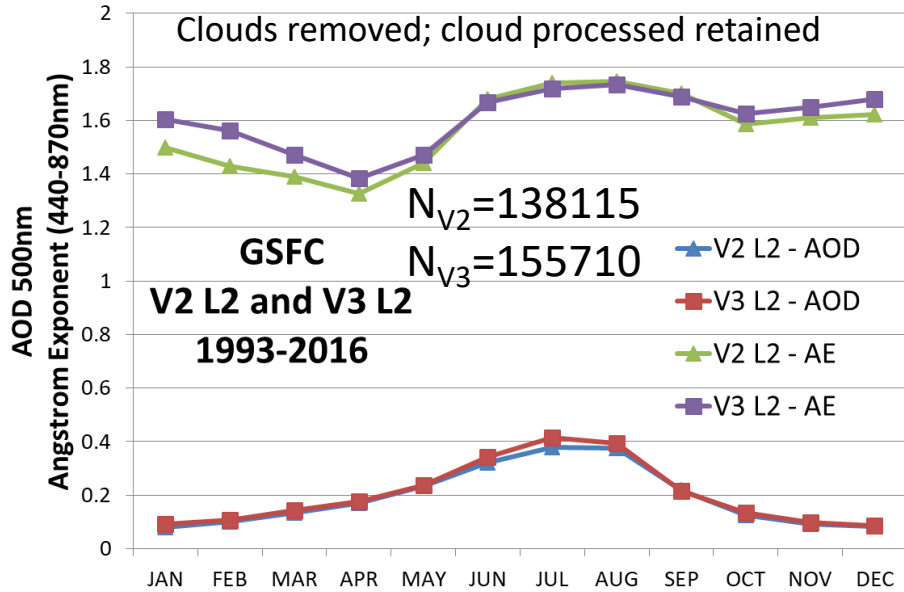
Level	N	AOD	$\alpha$
V2 L1.0	25579	0.23	0.31
V2 L1.5	13326	0.11	0.47
V2 L2.0	9371	0.08	0.54
V3 L1.5 CldScr	10233	0.07	0.47
V3 L1.5	8917	0.06	0.52
V3 L2.0	8917	0.06	0.52

## Singapore, #22, 2007-2011

Level	N	AOD	$\alpha$
V2 L1.0	25500	0.61	0.86
V2 L1.5	8680	0.46	1.03
V2 L2.0	6920	0.35	1.20
V3 L1.5 CldScr	6876	0.35	1.52
V3 L1.5	6597	0.35	1.51
V3 L2.0	6597	0.35	1.51

- New Level 1.5  $AOD_{500nm}$  and  $\alpha_{440-870nm}$  statistically very close to V2 Level 2.0
- Improperly filtered highly variable AODs (dominated by fine aerosols) may be restored in the V3 database
- Stable thin cirrus becomes less of an issue (less residual contamination)
- V3 L1.5 and V3 L2.0 in many cases are expected to be very similar

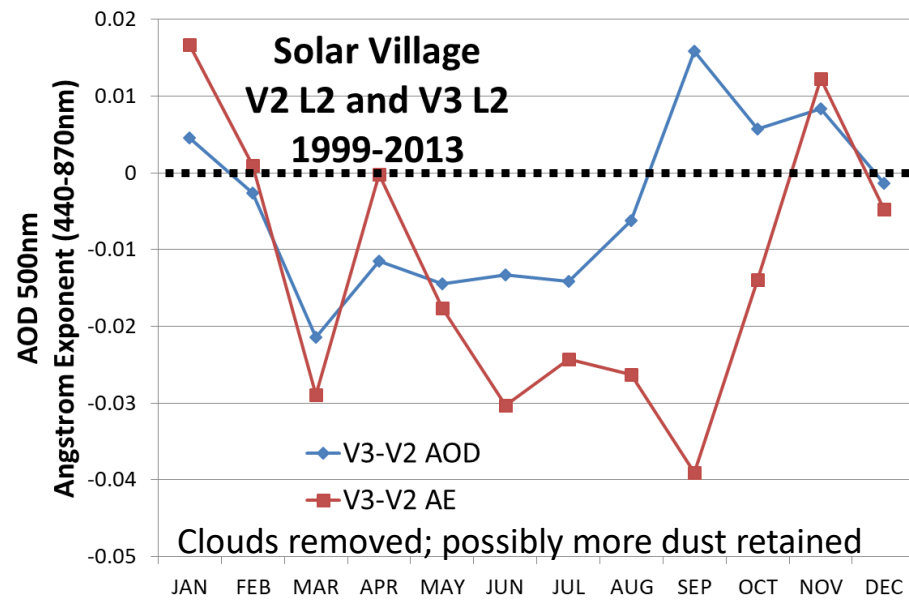
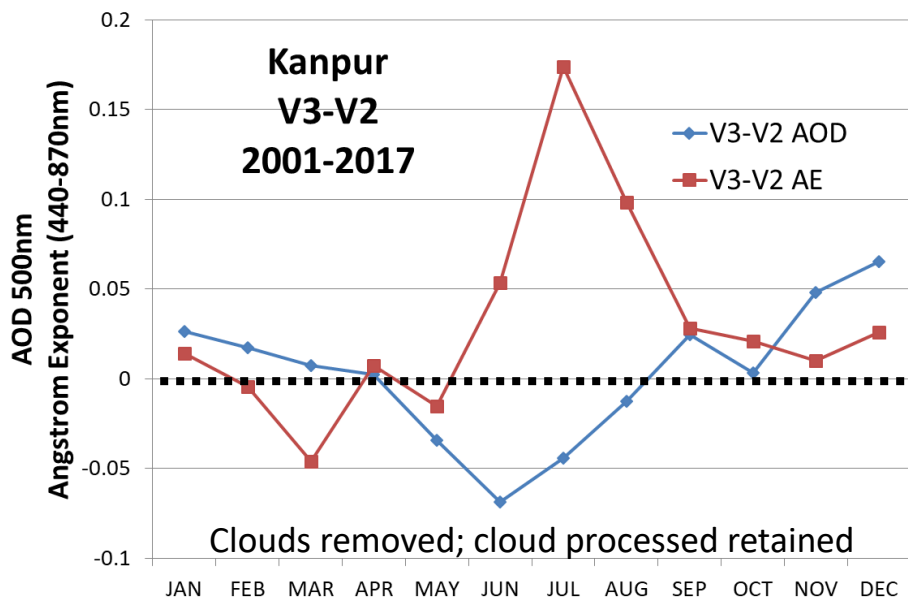
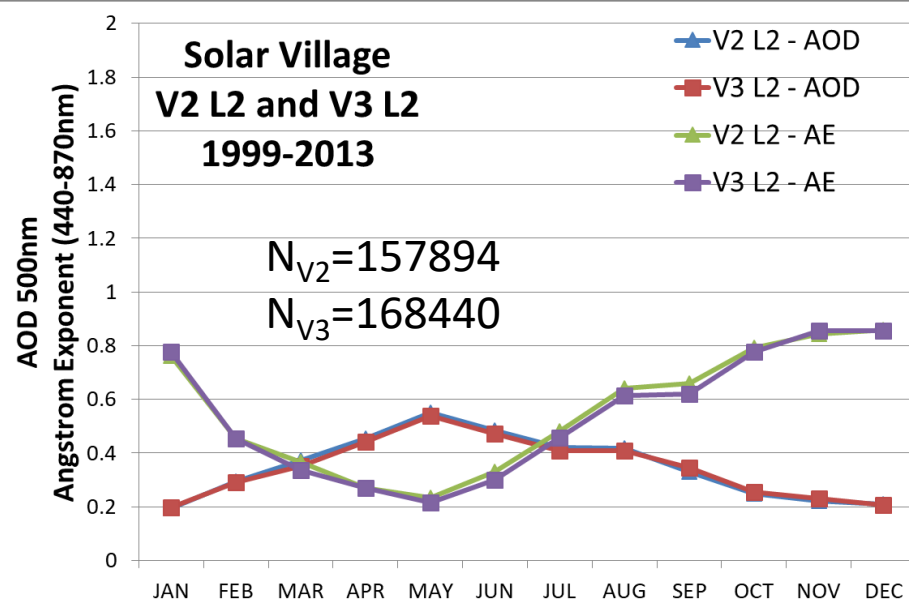
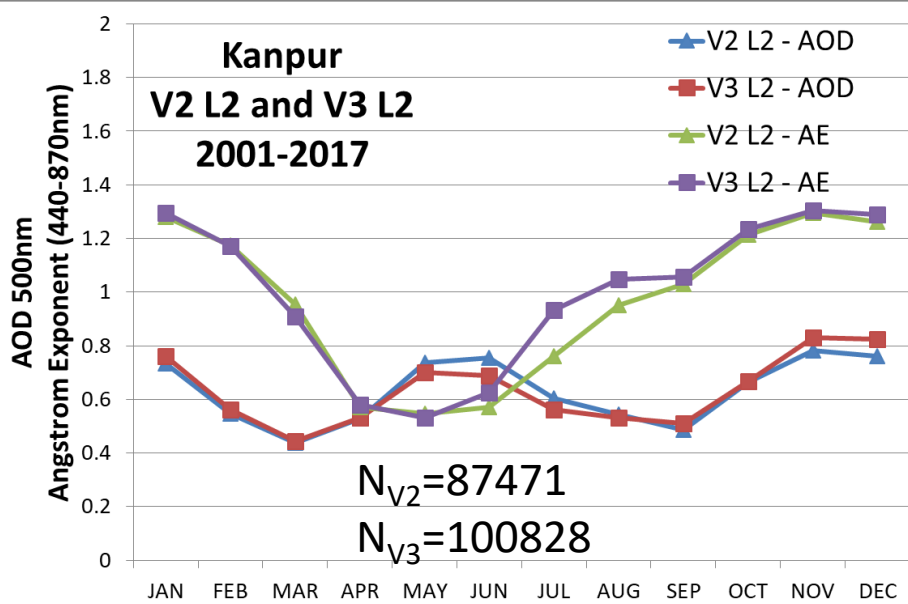
# Climatology



Clouds removed; cloud processed retained

Improved temperature correction

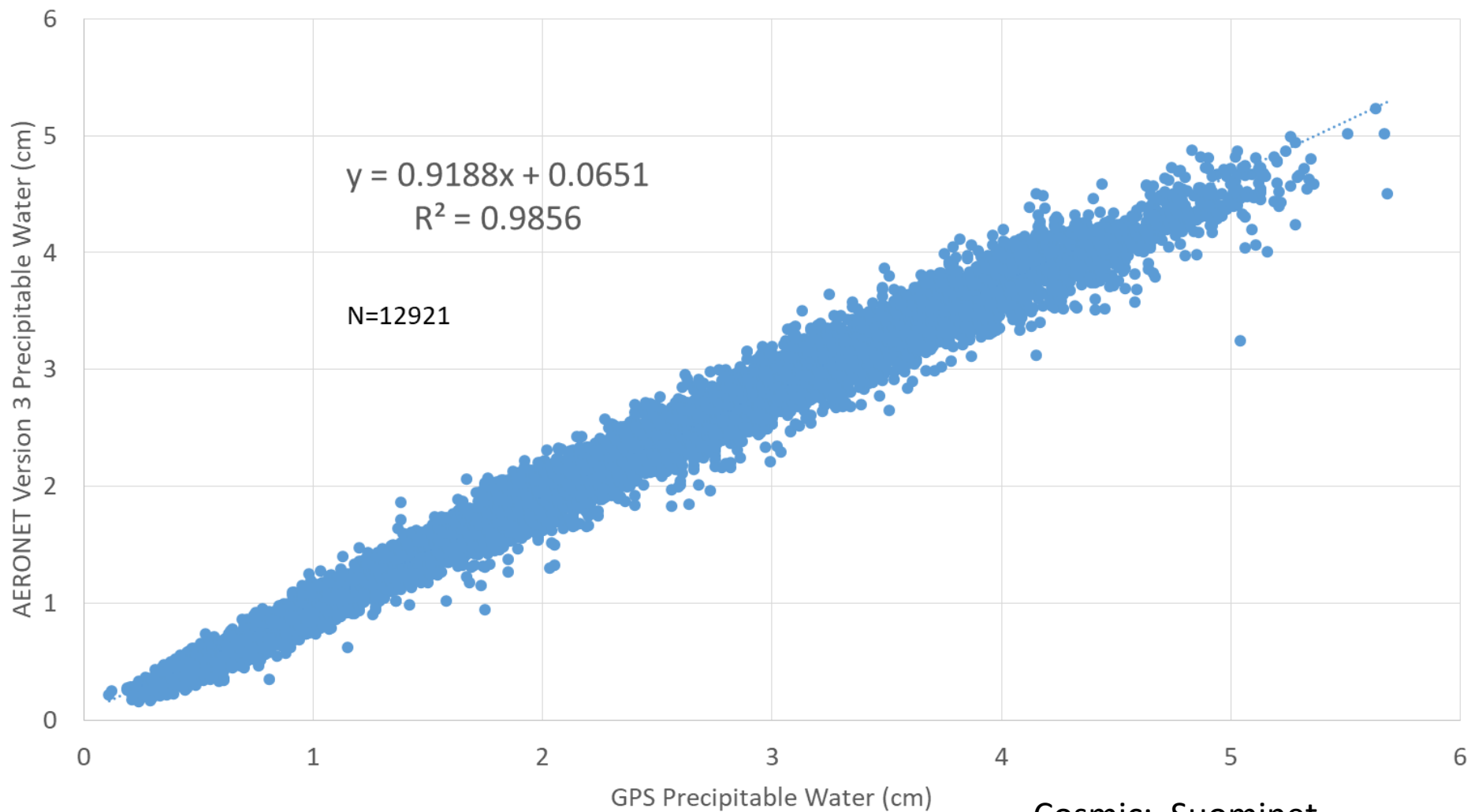
# Climatology



Clouds removed; cloud processed retained

Clouds removed; possibly more dust retained

Precipitable Water (cm)  
GSFC  
2007-2013

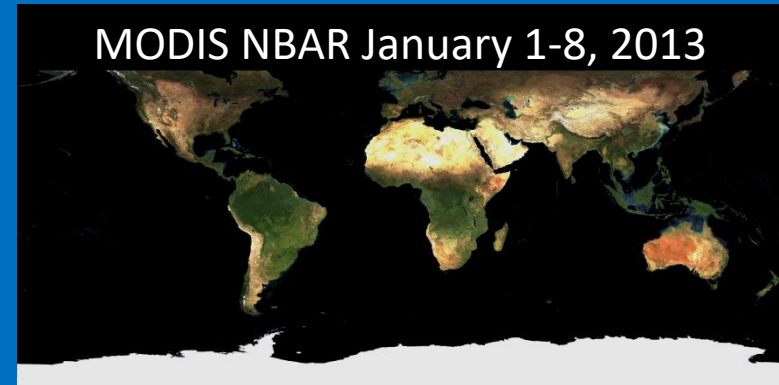
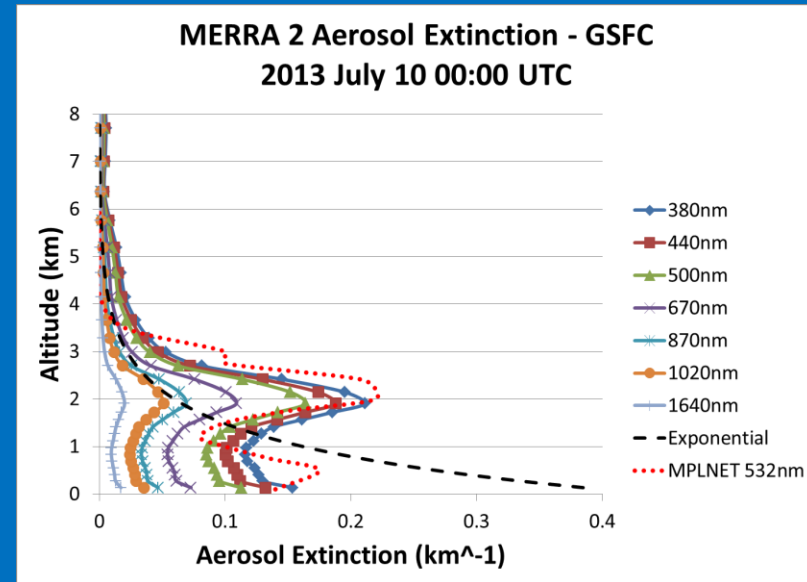


Cosmic: Suominet

Coincident within 15 minutes

# AERONET Version 3 - Inversions


- MERRA-2 aerosol extinction and  $O_3$  profiles (Randles et al., 2016; Wargan et al. 2017)
- Snow-free (with permanent snow) MODIS BRDF Gap-Filled Climatology (2003-2015) (Sun et al., 2017)
- Snow MODIS BRDF (2000-2014) (Schaaf et al., 2002; 2008; 2011)
- Full Vector radiative transfer code using Successive ORDers of scattering (SORD) (Korkin et al., 2016)
  - Radiation field in UV (e.g., 380 nm retrieval)
  - Degree of linear depolarization





# Forward Modelling with RT code **SORD**

- New publicly available polarized RT code: SORD (Successive ORDers of scattering)
- The SORD code is local to the AERONET : easy to support and further develop
- Both speed and accuracy are published in JQRST manuscript using 52 benchmarks
- Manuscript explains how to get SORD and independently reproduce all the tests



Contents lists available at [ScienceDirect](#)

**Journal of Quantitative Spectroscopy & Radiative Transfer**

journal homepage: [www.elsevier.com/locate/jqsrt](http://www.elsevier.com/locate/jqsrt)

Notes

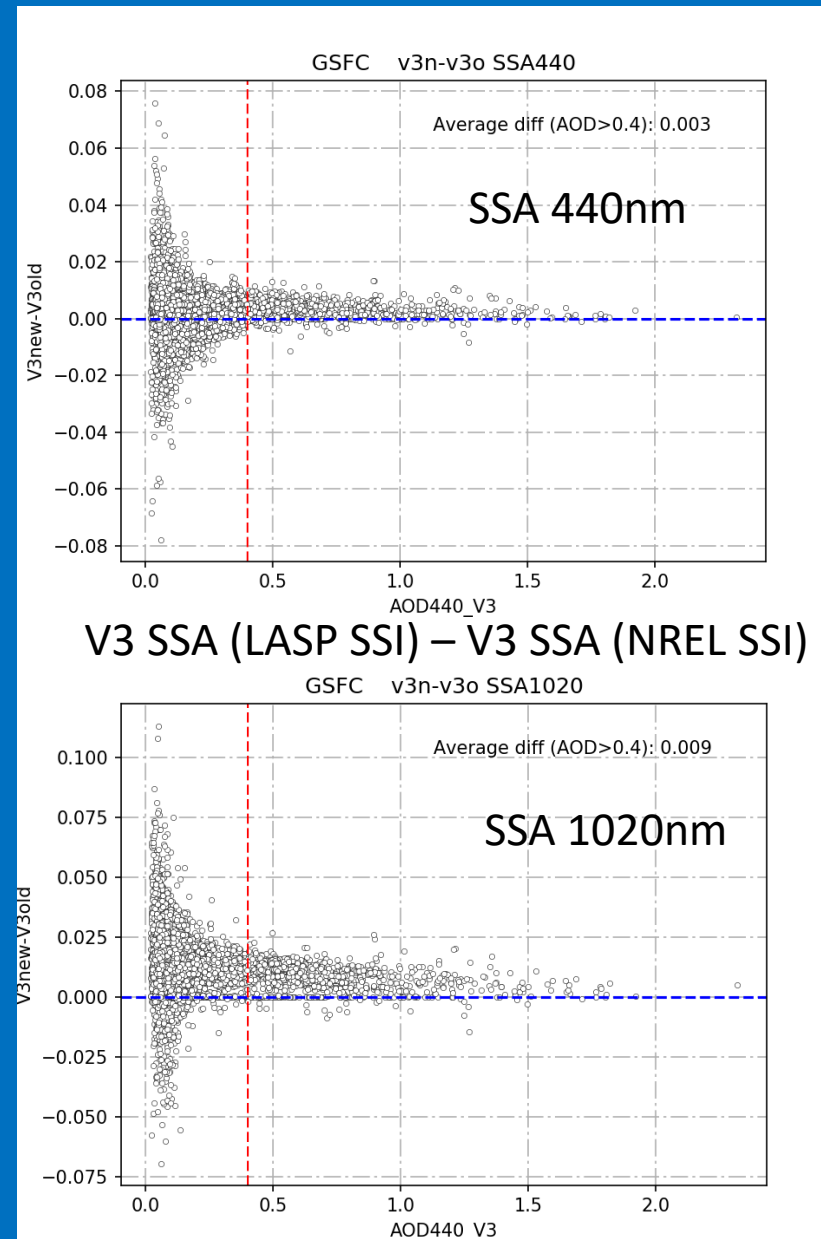
**Vector radiative transfer code SORD: Performance analysis and quick start guide**

Sergey Korin<sup>a,b,\*</sup>, Alexei Lyapustin<sup>b</sup>, Alexander Sinyuk<sup>c,b</sup>, Brent Holben<sup>b</sup>, Alexander Kokhanovsky<sup>d,e</sup>

The image shows a screenshot of a journal article page. At the top, it says 'Contents lists available at ScienceDirect'. The journal title is 'Journal of Quantitative Spectroscopy & Radiative Transfer'. Below the title is the journal homepage URL: 'www.elsevier.com/locate/jqsrt'. On the left is the Elsevier logo, and on the right is a small thumbnail of the journal cover. The main content area has a 'Notes' section with the title 'Vector radiative transfer code SORD: Performance analysis and quick start guide' and the authors: 'Sergey Korin<sup>a,b,\*</sup>, Alexei Lyapustin<sup>b</sup>, Alexander Sinyuk<sup>c,b</sup>, Brent Holben<sup>b</sup>, Alexander Kokhanovsky<sup>d,e</sup>'.

# AERONET Version 3 - Inversions

- *Solar Spectrum Irradiance (SSI) for Quiet Sun* developed by Univ. of Colorado LASP and NRL based on Solar Radiation and Climate Experiment (SORCE) data (Coddington et al., 2016)
- Lidar and depolarization ratio products (Dubovik et al., 2006)
- V2 inversion product quality assurance (Holben et al., 2006)
- NASA High End Computing (NCCS/GSFC) inversion processing (ongoing)
- Inversion product estimated uncertainties (in progress and to be released later)



# AERONET

## New Instrumentation/Enhancements

- Improved solar tracking reducing triplet variance
- Greater control over instrument measurement scenarios (e.g., **Hybrid**)
- **Lunar measurements**
  - 1<sup>st</sup> to 3<sup>rd</sup> quarter lunar phase (waxing to waning gibbous)
  - Processing for lunar measurements (e.g., ROLO, Tom Stone)
- Development toward attachment for CO<sub>2</sub> measurements (Emily Wilson)
- Synergism with MPLNET, PANDORA, and in situ measurements



Cimel Sun/Sky/Lunar Radiometer

# Aerosols and More

## AERONET OCEAN COLOR



Zibordi et al. [2009], JAOT



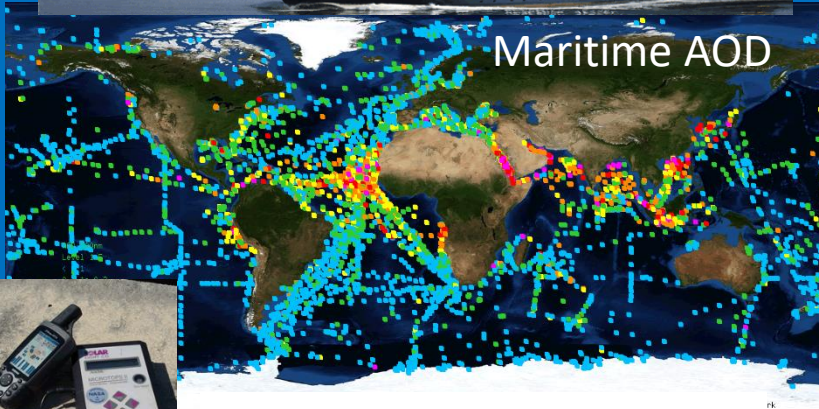
## AERONET Solar Radiation Network



Schafer et al. [2004], JGR



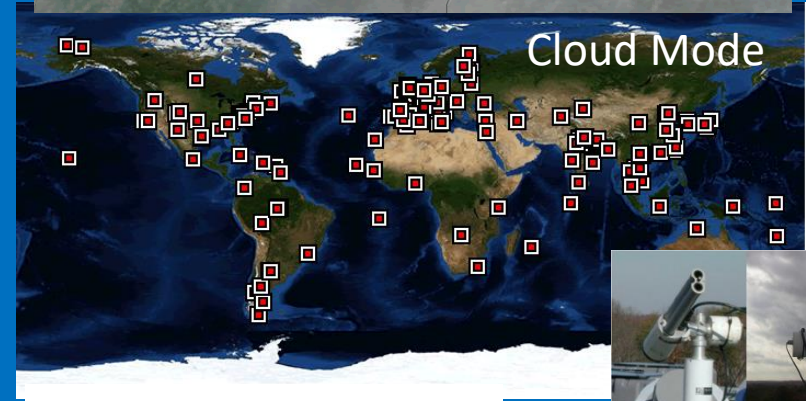
## AERONET MARITIME AEROSOL NETWORK



Smirnov et al. [2009], JGR



## AERONET CLOUD OPTICAL PROPERTIES



Chiu et al. [2010], JGR





NASA GODDARD SPACE FLIGHT CENTER + Visit NASA.gov

## AERONET AEROSOL ROBOTIC NETWORK

+ AEROSOL OPTICAL DEPTH + AEROSOL INVERSIONS + SOLAR FLUX + OCEAN COLOR + MARITIME AEROSOL

Web Site Feature **AERONET Data Synergy Tool - Access Earth Science data sets for AERONET sites**

-Home  
**Home**

+ AEROSOL/FLUX NETWORKS  
+ CAMPAIGNS  
+ COLLABORATORS  
+ DATA  
+ LOGISTICS  
+ NASA PROJECTS  
+ OPERATIONS  
+ PUBLICATIONS  
+ SITE INFORMATION  
+ STAFF  
+ SYSTEM DESCRIPTION

**AERONET DATA ACCESS**

**DATA SYNERGY TOOL**  
+ Data Display

**AEROSOL OPTICAL DEPTH (V3)**  
+ Data Display  
+ Download Tool  
+ Download All Sites  
+ Climatology Tables  
+ Web Service

**AEROSOL INVERSIONS (V3)**  
+ Data Display

**MISSION**

The AERONET (AErosol RObotic NETwork) project is a federation of ground-based remote sensing aerosol networks established by NASA and PHOTONS (PHOTométrie pour le Traitement Opérationnel de Normalisation Satellitaire; Univ. of Lille 1, CNES, and CNRS-INSU) and is greatly expanded by networks (e.g., RIMA, AeroSpan, AEROCAN, and CARsNET) and collaborators from national agencies, institutes, universities, individual scientists, and partners. For more than 25 years, the project has provided long-term, continuous and readily accessible public domain database of aerosol optical, microphysical and radiative properties for aerosol research and characterization, validation of satellite retrievals, and synergism with other databases. The network imposes standardization of instruments, calibration, processing and distribution.

AERONET collaboration provides globally distributed observations of spectral aerosol optical depth (AOD), inversion products, and precipitable water in diverse aerosol regimes. Version 3 AOD data are computed for three data quality levels: Level 1.0 (unscreened), Level 1.5 (cloud-screened and quality controlled), and Level 2.0 (quality-assured). Inversions, precipitable water, and other AOD-dependent products are derived from these levels and may implement additional quality checks.

The processing algorithms have evolved from Version 1.0 to Version 2.0 and now Version 3.0. The Version 3 databases are available from the AERONET and PHOTONS web sites. Version 2 data may be downloaded from the web site through 2018 and thereafter upon special request. New AERONET products will be released as new measurement techniques and algorithms are adopted and validated by the AERONET research community. The AERONET web site also provides AERONET-related news, a description of research and operational activities, related Earth Science links, and an AERONET staff directory.

+ Read More



NEWS

V3 AOD, SDA,  
and inversions

# Summary and Outlook

- Higher quality NRT AOD data will be available in V3
  - *Due to temperature characterization and automatic cloud screening and quality controls*
- Level 2.0 utilizes Level 1.5 automatic screening and available within 30 days of post-field calibration application
- V3 inversions will utilize new radiative transfer, ancillary data sets, and provide new products

# Version 3 Releases

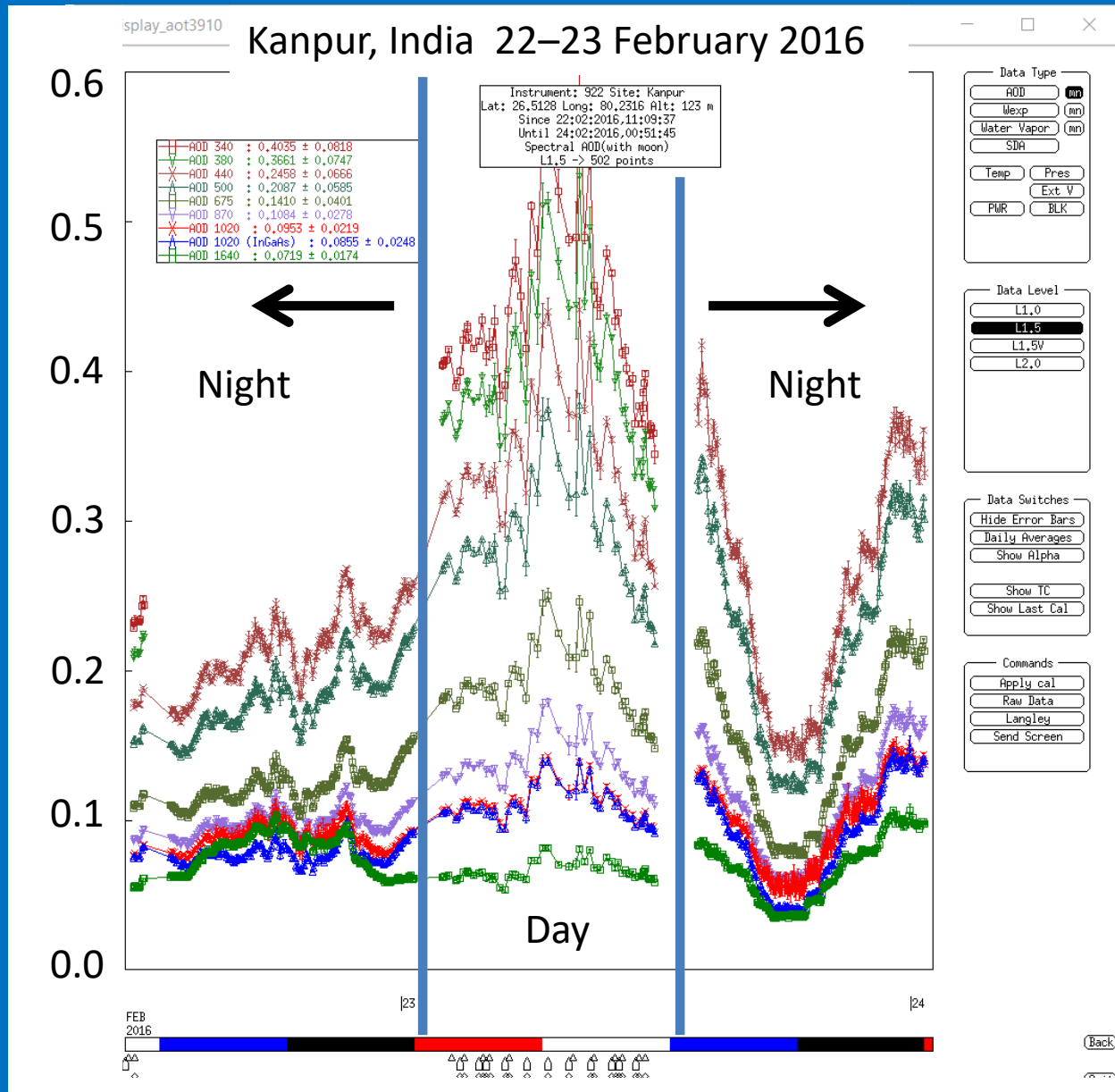
- V3 AOD Level 1.0 and Level 1.5 NRT:  
July 14, 2016
- V3 AOD Level 2.0 release:  
January 5, 2018
- V3 Inversions release (Levels 1.5 and 2.0):  
January 5, 2018

# Upcoming Product V3 Release

- Normalized Water Leaving Radiances
- Lunar AOD
- Hybrid Sky Scan Inversions
- Inversion Uncertainty Estimates
- Expanded Wavelength Inversions  
(including 380nm and 1640nm)

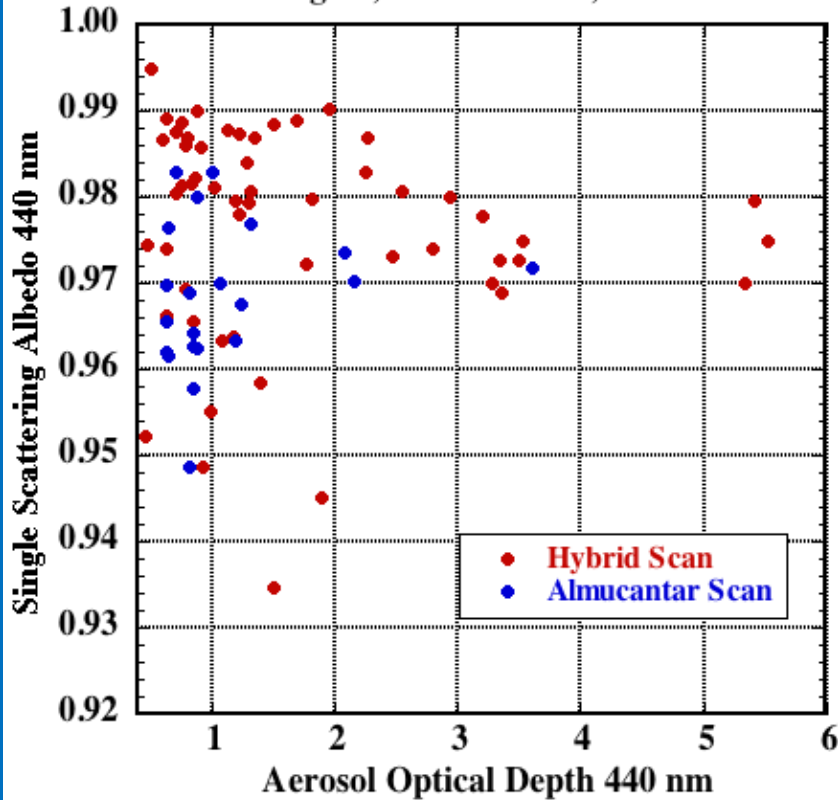


# Provisional Lunar AOD

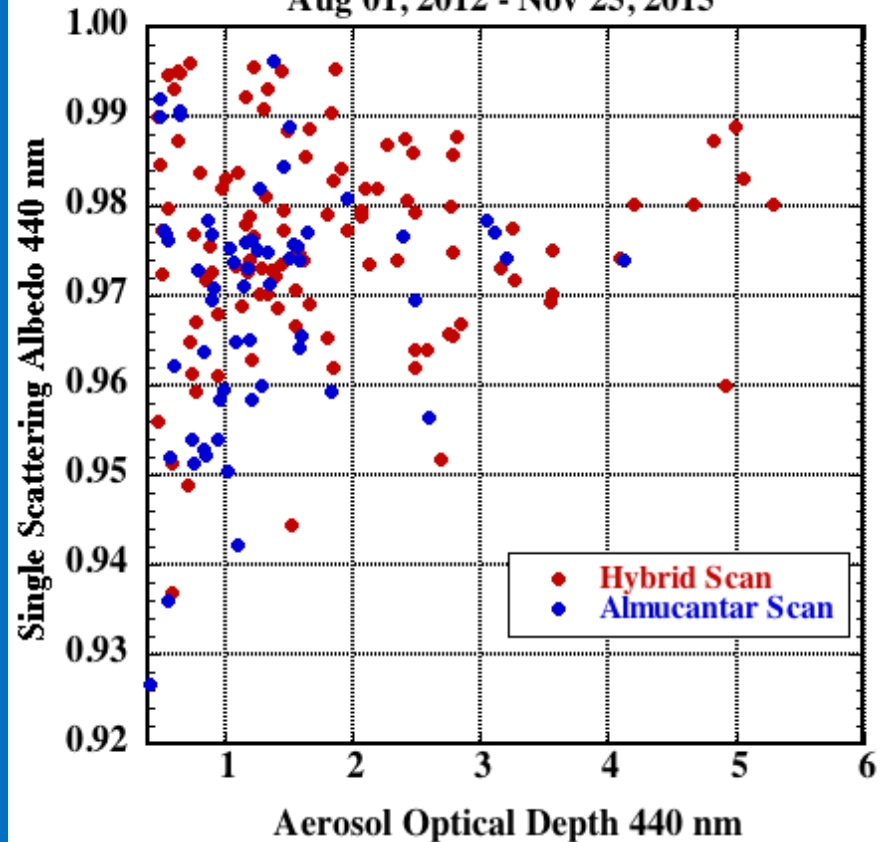


# Hybrid and Almucantar

Palangkaraya, Indonesia - Hybrid & Almucantar Retrievals  
Single Scattering Albedo - 440 nm  
Aug 01, 2012 - Nov 25, 2015



Singapore - Hybrid & Almucantar Retrievals  
Volume Median Fine Mode Radius  
Aug 01, 2012 - Nov 25, 2015



Hybrid Scan results in many more retrievals at AOD > 2 at 440 nm since Hybrid scans can be made at mid-day with low Solar Zenith Angle (SZA). Almucantar scans require SZA > 50 degrees – this results in insufficient signal to measure 440 nm AOD when AOD is very high